

802.1CF text review

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Abstract

This document contains a compilation of text of the P802.1CF specification as generated by assembling in FrameMaker contributions on Network Reference Model, Authorized Shared Access, Network Discovery and Selection, and SDN Abstraction. The document is aimed for editorial review and consolidation of the wording of the technical specification.

30 2. Normative references

31 The following referenced documents are indispensable for the application of this document (i.e., they must
32 be understood and used, so each referenced document is cited in text and its relationship to this document is
33 explained). For dated references, only the edition cited applies. For undated references, the latest edition of
34 the referenced document (including any amendments or corrigenda) applies.

35

36 IEEE Std 802.1AC™, IEEE Standard for Local and metropolitan area networks—Media Access Control
37 (MAC) Service Definition. IEEE Std 802.1Q™, IEEE Standard for Local and metropolitan area networks—
38 Media Access Control (MAC) Bridges and Virtual Bridged Local Area Networks.

39 IEEE Std 802.3™, IEEE Standard for Ethernet.

40 IEEE Std 802.11™, IEEE Standard for Local and metropolitan area networks—Wireless LAN Medium
41 Access Control (MAC) and Physical Layer (PHY) Specifications.

42 IEEE Std 802.16™, IEEE Standard for Air Interface for Broadband Wireless Access Systems.

43 IEEE Std 802.22™, IEEE Standard for Local and metropolitan area networks—Cognitive Wireless RAN
44 Medium Access Control (MAC) and Physical Layer (PHY) specifications: Policies and procedures for oper-
45 ation in the TV Bands.

46 3. Definitions

47 For the purposes of this document, the following terms and definitions apply. *The IEEE Standards*
48 *Dictionary Online* should be consulted for terms not defined in this clause.¹

49 4. Acronyms and abbreviations

50	AN	Access Network
51	ANC	Access Network Control
52	ANI	Access Network Identifier
53	AR	Access Router
54	ARC	Access Router Control
55	ARI	Access Router Interface
56	ASA	Authorized Shared Access
57	BH	Backhaul
58	CIS	Coordination and Information Service
59	CN	Core Network
60	CNS	Core Network Service
61	CNSI	Core Network Service Identifier
62	EUI48	48-bit Extended Unique Identifier
63	LSA	Licensed Shared Access
64	NA	Node of Attachment (e.g., AP)

¹The *IEEE Standards Dictionary Online* subscriptions are available at
http://www.ieee.org/portal/innovate/products/standards/standards_dictionary.html.

65	NAI	Network Access Identifier
66	NRM	Network Reference Model
67	SA	Shared Access
68	SS	Subscription Service
69	SSI	Subscription Service Identifier
70	TE	Terminal
71	TEC	Terminal Control
72	TEI	Terminal Interface

73 **5. Conformance**

74 As Recommended Practices do not include mandatory statements, this document is not intended to serve as
75 the basis of statements of conformance. However, the material provides a basis for the deployment of
76 normative protocol standards that include mandatory statements and to which conformance can be stated.

77 **6. Network Reference Model**

78 **6.1 Basic architectural concepts and terms (informative)**

79 NOTE— This section is essentially adopted from IEEE 802.1AC Chapter 7 with some figures added from IEEE 802 for
80 illustration.

81 The architectural concepts used in this and other IEEE 802.1 standards are based on the layered protocol
82 model introduced by the OSI Reference Model (ISO/IEC 7498-1) and used in the MAC Service Definition
83 (IEEE Std 802.1AC), in IEEE Std 802, in other IEEE 802 standards, and (with varying degrees of fidelity) in
84 networking in general. IEEE 802.1 standards in particular have developed terms and distinctions useful in
85 describing the MAC Service and its support by protocol entities within the MAC Sublayer

86 **6.1.1 Protocol entities, peers, layers, services, and clients**

87 The fundamental notion of the model is that each protocol entity within a system exists or is instantiated at
88 one of a number of strictly ordered layers, and communicates with peer entities (operating the same or an
89 interoperable protocol within the same layer) in other systems by using the service provided by interoperable
90 protocol entities within the layer immediately below, and thus provides service to protocol entities in the
91 layer above. The implied repetitive stacking of protocol entities is bounded at the highest level by an
92 application supported by peer systems, and essentially unbounded at the lowest level. In descriptions of the
93 model, the relative layer positions of protocol entities and services is conventionally referred to by N ,
94 designating a numeric level. The N service is provided by an N entity that uses the $(N - 1)$ service provided by
95 the $(N - 1)$ entity, while the N service user is an $(N + 1)$ entity.

96 Figure 1 illustrates these concepts with reference to the layered protocol model and service access points of
97 IEEE 802 end stations.

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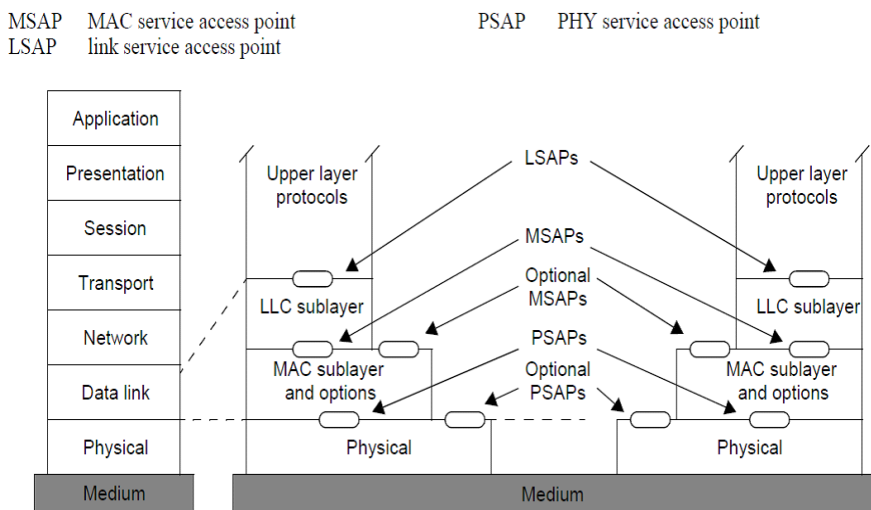


Figure 1—IEEE 802 reference model

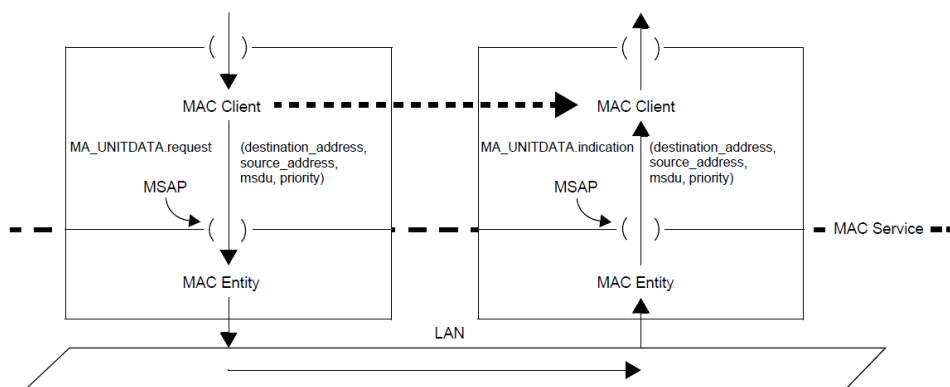
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100 6.1.2 Service interface primitives, parameters, and frames

101 Each *N*-service is described in terms of service primitives and their parameters, each primitive
 102 corresponding to an atomic interaction between the *N*-service user and the *N*-service provider, with each
 103 invocation of a primitive by a service user resulting in the service issuing corresponding primitives to peer
 104 service users. The purpose of the model is to provide a framework and requirements for the design of
 105 protocols while not unnecessarily constraining the internal design of systems. The primitives and their
 106 parameters include all of the information elements to identify (address) the peer protocol entities and deliver
 107 the information. They are limited to information which is either conveyed to corresponding peer protocol
 108 entities or required by other systems, and which is not supplied by protocols in lower layers. The parameters
 109 of service primitives do not include information that is used only locally, i.e., within the same system, to
 110 identify entities or organize resources. for example.

111

112



113 **Figure 2—MAC entities, the MAC service, and MAC service users (clients)**

114 Figure 2 illustrates these concepts with reference to the MAC Sublayer, which contains MAC entities that
115 provide the MAC Service at MAC Service Access Points (MSAPs), to MAC Service users.

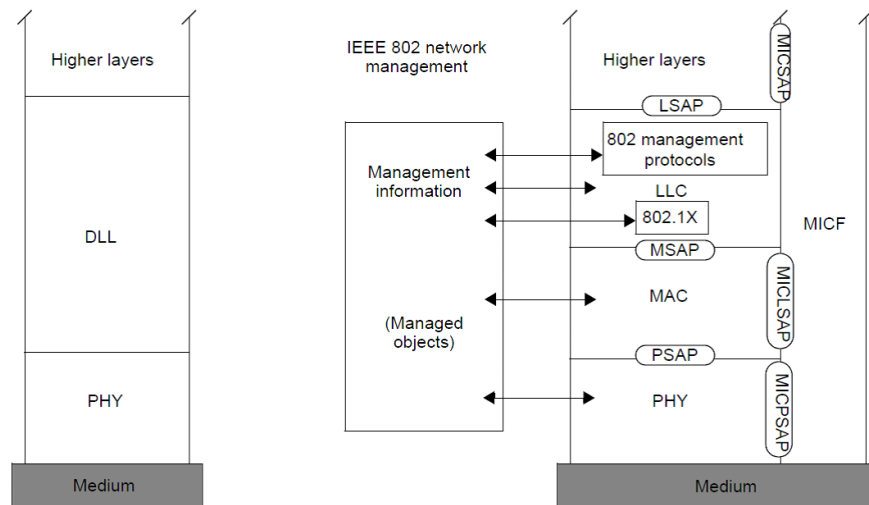
116 The primitives of the MAC Service comprise a data request and a corresponding data indication; each with
117 MAC destination address, MAC source address, a MAC service data unit comprising one or more octets of
118 data, and priority parameters. Taken together these parameters are conveniently referred to as a frame,
119 although this does not imply that they are physically encoded by a continuous signal on a communication
120 medium, that no other fields are added or inserted by other protocol entities prior to transmission, or that the
121 priority is always encoded with the other parameters transmitted.

122 6.1.3 Layer management interfaces

123 A given N -entity can have many associated management controls, counters, and status parameters that are
124 not communicated to its user's peers, and whose values are either not determined by its user or not required
125 to change synchronously with the occurrence of individual N -service primitives to ensure successful $(N + 1)$
126 -protocol operation. Communication of the values of these parameters to and from local entities, i.e., within
127 the same system, is modeled as occurring not through service primitives but through a layer management
128 interface (LMI). One protocol entity, for example an SNMP entity, can be used to establish the operational
129 parameters of another. Communication of the results of authentication protocol exchanges to entities
130 responsible for controlling and securing access is one of the uses of LMIs in this standard.

131

132



133 **Figure 3—IEEE 802 reference model with end-station management**

134 Figure 3 illustrates the layer management interfaces allowing access to controls, counters, and status
135 parameters inside a protocol entity.

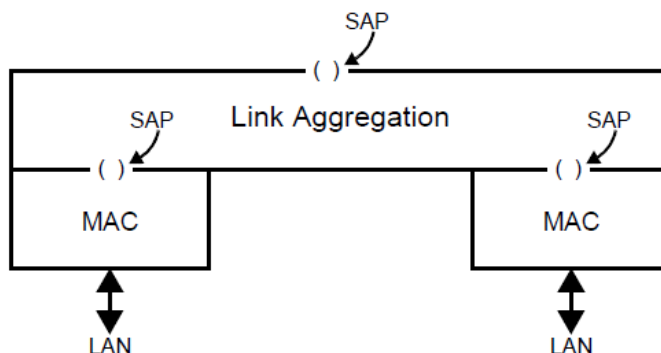
136 **6.1.4 Service access points, interface stacks, and ports**

137 Each service is provided to a single protocol entity at a service access point (SAP) within a system. A given
138 N -entity can support a number of N -SAPs and use one or more $(N - 1)$ -SAPs. The service access point serves
139 to delineate the boundary between protocol specifications and to specify the externally observable
140 relationship between entities operating those protocols. A service access point is an abstraction, and does not
141 necessarily correspond to any concrete realization within a system, but an N -entity often associates
142 management counters with the SAP and provides status parameters that can be used by the $(N + 1)$ -entity
143 using the SAP. Examples include the MAC_Operational and operPointToPointMAC status parameters
144 provide by MAC entities.

145 The network and link layers of the reference model accommodate many different real networks,
146 subnetworks, and links with the requirements for bandwidth, multiplexing, security, and other aspects of
147 communication differing from network to network. A given service, e.g., the MAC Service, is often
148 provided by a number of protocols, layered to achieve the desired result. Together the entities that support a
149 particular service access point compose an interface stack.

150

151



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Figure 4—*n* interface stack

153 Figure 4 provides an example of link aggregation (IEEE Std 802.1AX).

154 The term *port* is used to refer to the interface stack for a given service access point. Often the interface stack
155 comprises a single protocol entity attached to a single LAN, and port can be conveniently used to refer to
156 several aspects of the interface stack, including the physical interface connector for example. In more
157 complex situations—such as that illustrated in Figure 4, where the parts of the interface stack provided by
158 the IEEE 802.3 MAC entities effectively compose two ports that are then used by link aggregation to
159 provide a single port to its user—the port has to be clearly specified in terms of the particular service access
160 point supported. Port-based network access control secures communication through that service access
161 point.

162 6.1.5 Media independent protocols and shims

163 Some protocols, such as those specified in IEEE Std 802.3, IEEE Std 802.11, and other IEEE 802 standards,
164 are specific to their LAN media or to the way access to that media is controlled. Other protocols and
165 functions within the MAC sublayer, such as link aggregation and bridging, are media independent—thus
166 providing consistent management and interoperability across a range of media.

167

168 IEEE 802.1 standards use the term *shim* to refer to a protocol entity that provides the same service to its user
169 as it uses from its provider (see 3.168 of IEEE Std 802.1Q-2011). Shims can be inserted into an interface
170 stack to provide functions such as aggregation (e.g., IEEE Std 802.1AX), security (e.g., IEEE Std 802.1AE),
171 or multiplexing.

172 6.1.6 MAC Service clients

173 The protocol entity that uses the service provided at a MAC Service access point (MSAP) is commonly
174 referred to as the client of the MAC Service or of the entity providing the service. Within a Bridge, the MAC
175 Relay Entity is a client of the Internal Sublayer Service (ISS), and the Logical Link Control (LLC) Entity is
176 a client of the MAC Service. The LLC Entity is described in IEEE Std 802 and provides protocol
177 identification, multiplexing, and demultiplexing to and from a number of clients that use a common MSAP.
178 The clients of LLC are also often referred to as clients of the MAC.

179 **6.1.7 Stations and systems**

180 An end station is comprised of one or more media access methods, operating the MAC procedures specified
181 in the applicable IEEE 802 standard, together with other protocol entities mandated by those standards (e.g.,
182 an LLC Entity) or commonly used in conjunction with that entity. It does not forward packets between its
183 MAC entities.

184 A system is a combination of interacting elements organized to achieve one or more stated purposes.
185 Management of a system, when supported, is typically provided through a single management entity. A
186 system (such as a bridge) can contain many media access method specific entities, of the same or a variety of
187 types, attached to different LANs. A system can therefore be said to include one or more end stations.

188 **6.1.8 Connectionless connectivity and connectivity associations**

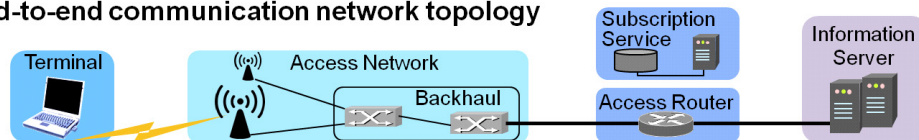
189 The MAC Service supported by an IEEE 802 LAN provides connectionless connectivity; i.e.,
190 communication between attached stations occurs without explicit prior agreement between service users.
191 The potential connectivity offered by a connectionless service composes a connectivity association that is
192 established prior to the exchange of service primitives between service users (see RFC 787). The way in
193 which such a connectivity association is established depends on the particular protocols and resources that
194 support it, and can be as simple as making a physical attachment to a wire. However simple or complex, the
195 establishment of a connectivity association for connectionless data transfer involves only a two-party
196 interaction between the service user and the service provider (though it can result in exchanges between
197 service-providing entities in several systems) and not a three-party user-service-user interaction as is the
198 case for connection-oriented communication. With the continual increase in the number of ways that IEEE
199 802 LAN connectivity can be supported, it is no longer useful to regard a LAN as a definite set of physical
200 equipment. Instead, a LAN is defined by the connectivity association that exists between a set of MSAPs.

201 **6.2 Overview of IEEE 802 Network Reference Model**

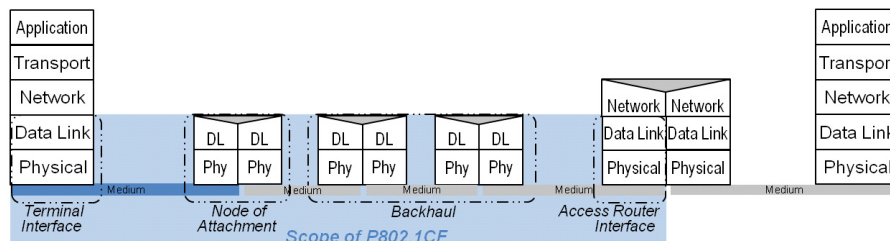
202 The network reference model defines a generic foundation for the description of IEEE 802 access networks,
203 which may include multiple network interfaces, multiple network access technologies, and multiple network
204 subscriptions, aimed at unifying the support of different interface technologies, enabling shared network
205 control and use of software-defined networking (SDN) principles.

206 It adopts the generic concepts of SDN by introducing dedicated controller functions in the terminal, access
207 network, and access router, with well-defined semantics for interfacing with higher layer management,
208 orchestration, and analytics functions. Additionally the model deploys a clear separation of functional roles
209 in the operation of access networks to support various deployment models including leveraging wholesale
210 network services for backhaul, network sharing, and roaming.

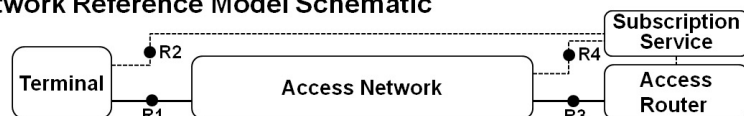
End-to-end communication network topology



Scope of Network Reference Model in the protocol layer architecture



Network Reference Model Schematic



211

212

Figure 5—NRM overview

213 Within the bigger picture of an end-to-end network model for providing access to IP services, the NRM
 214 deals in particular with the link layer communication infrastructure between the network layer in the
 215 terminal and the access router in the core network as depicted in Figure 5.

216 In IEEE 802 access networks, the user data is forwarded according to the destination MAC address in the
 217 Ethernet frames, which represent the endpoints of the link in the access network. Avoiding a functional
 218 separation of the user plane from the transport plane, the specification provides an integrated model for
 219 backhaul connectivity combined with subscriber-specific connectivity functions as facilitated by modern
 220 IEEE 802.1 bridging technologies. At first glance, the network model for an IEEE 802 access network
 221 consists of the terminal, the access network (which is made up of the node of attachment and the backhaul),
 222 the access router, and the subscription service. The subscription service provides authentication,
 223 authorization, and accounting, as well as policy functions specific for particular user accounts and terminals.
 224 Beyond the access router and out of scope of this specification is the infrastructure providing IP-based
 225 information services to the terminals.

226 Communication interfaces between the entities are denoted by R1 for the interface between the terminal and
 227 the node of attachment, by R2 for the authentication procedures between terminal and subscription service,
 228 by R3 for the interface between access network and the access router, and by R4 for the authentication,
 229 authorization, accounting, and policy functions between the access network and the subscription service.

230 6.3 Functional entities

231 6.3.1 Terminal

232 The terminal is a mobile device that seeks connectivity to a communication infrastructure to get access to
 233 communication services. The terminal comprises a terminal interface building the physical port for
 234 connectivity, and eventually deploys a terminal controller for dealing with particular parameters and
 235 configurations conveyed by the control and management interface.

236 6.3.2 Access network

237 The access network consists of the nodes of attachment providing the physical ports toward the terminals
238 and the backhaul for connecting the nodes of attachment toward the access router. The access network may
239 deploy a dedicated access network controller for configuration and management of the elements inside the
240 access network as well as exchange of control and management information with both the terminal and
241 access router.

242 6.3.3 Access router

243 The access router terminates the layer 2 connectivity to the terminal by realizing the anchor for network
244 layer communication toward the terminal side. The access router comprises an access router interface that
245 establishes the physical port of the connectivity toward the access network, and may eventually comprise a
246 dedicated access router controller that handles and exchanges layer management information and
247 configurations. With a dedicated access router controller, the access router becomes a logical functional unit
248 with various implementation options for the controller and the packet forwarding engine attached to the
249 access router interface.

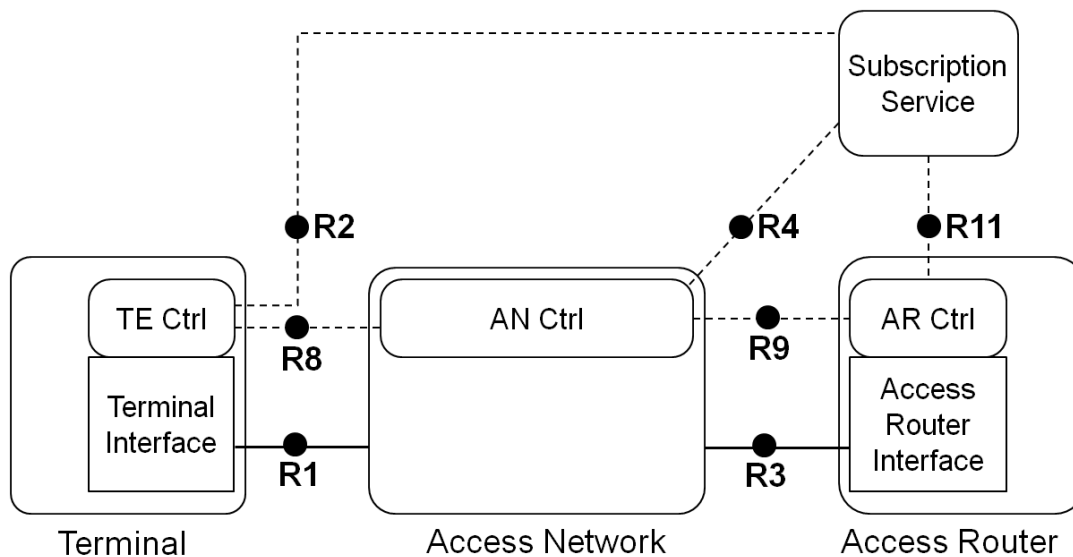
250 6.3.4 Subscription service

251 6.4 Basic Network Reference Model

252 The subscription service provides authentication, authorization, and accounting services (as well as user-
253 specific policies) to the terminal, the access network, and the access router. The subscription service usually
254 comprises a database containing all the subscription-specific information. Multiple subscription services
255 may be interlinked with each other for roaming users, i.e. for subscribers, who make use of network
256 resources not belonging to their own business.

257

258



259

260

Figure 6—Basic Network Reference Model

261 Figure 6 presents the Basic Network Reference Model. Solid lines represent the interfaces representing the
262 data plane and connecting ports, while dotted lines show the flow of control and management information.
263 This NRM is the foundation for further refinements and includes the basic differentiation between functional
264 entities and the reference points for their communication. The Basic NRM is composed of four main
265 elements: i) the Terminal (TE), ii) the Access Network (AN), iii) the Access Router (AR), and iv) the
266 Subscription Service (SS).

267 As depicted in Figure 6, the TE, AN, and AR each contain a control entity, which is denoted by Controller
268 (Ctrl). Each of the three elements has its own specific controller.

269 Note—The access router is a logical functional unit with various options for implementation depending of the design
270 and architecture of the access router controller.

271 Note—Please note that currently no assumptions are made regarding the ownership of the functional units. Access Net-
272 work, Subscription Service, and Access Router may belong to the same operator, or may be distributed among three dis-
273 tinct operators.

274 6.4.1 Reference Points

275 **R1** represents the reference point for the PHY and MAC layer functions establishing the physical port, as
276 specified in numerous IEEE 802 standards, between terminal and access network

277 **R2** represents a control interface between terminal and the subscription service, e.g. for authentication.

278 **R3** represents the physical port for the communication between the access network and the access router.

279 **R4** represents a control interface communicating subscription-specific information elements between the
280 access network controller and the subscription service.

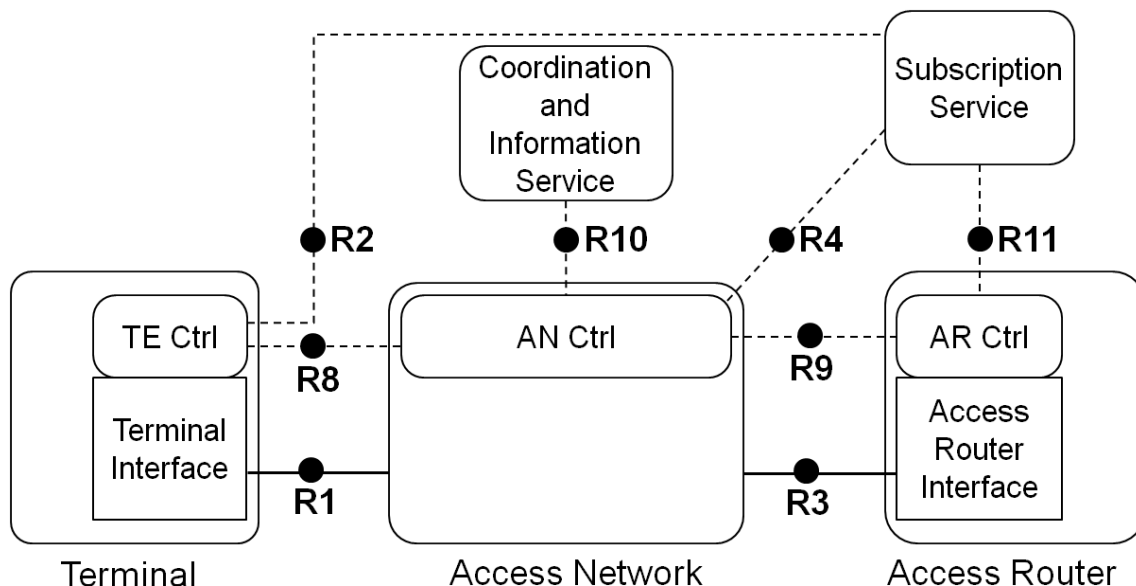
281 **R8** represents the control and management interface between the AN and the TE, which terminates in
282 Access Network Controller and the Terminal Controller, respectively. The functionalities of this reference
283 point are related to the configuration of the physical port in the terminal and the control of the data flows in
284 the terminal. In addition, the reference point may include some additional configuration parameters to
285 influence the behavior and configuration of the terminal.

286 **R9** represents a control and management interface between the access network controller and access router
287 controller.

288 **R11** represents a control interface communicating subscription-specific information between the
289 subscription service and the access router controller.

290 6.5 Network Reference Model including Coordination and Information Service

291



292

Figure 7—NRM with Coordination and Information Service

293 Some deployments include a Coordination and Information Service (CIS) to provide advanced services such
294 as spectrum management, coexistence, and information services for mobility. The reference model includes
295 the option for CIS by providing a reference point to communicate the information between CIS and the AN
296 Ctrl, possibly propagated further by the AN Ctrl to the TE Ctrl and AR Ctrl over the R8 and R9 interfaces,
297 respectively.

298 6.5.1 Reference Points

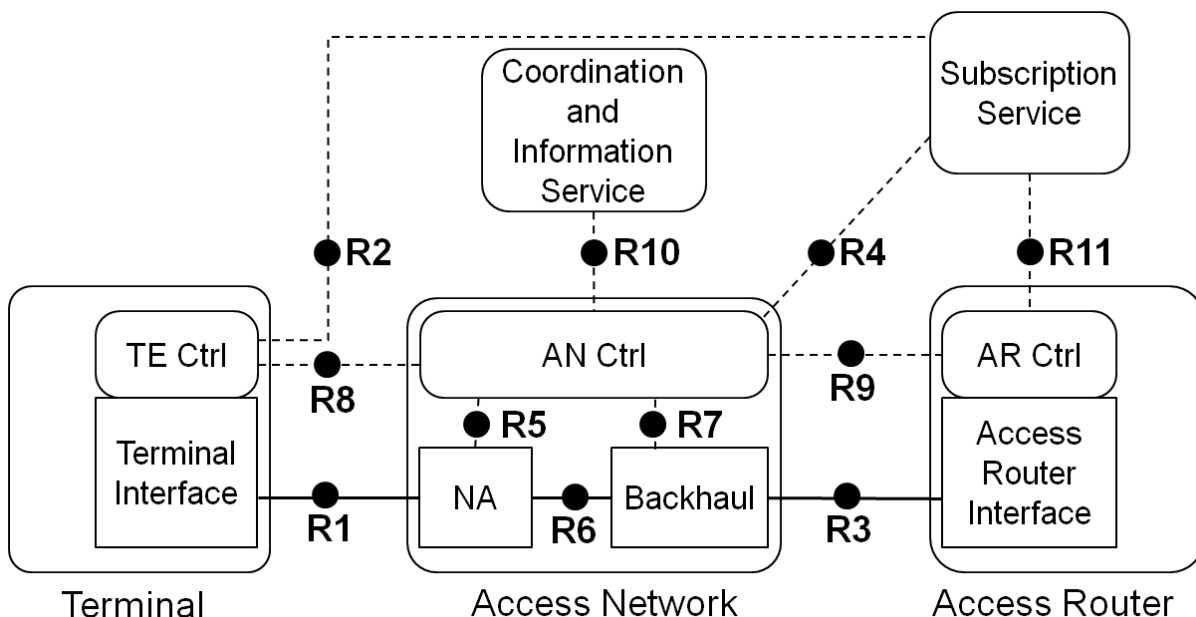
299 R10 represents a control and management interface between the Access Network Controller and the CIS.

300 6.5.2 Coordination and Information Service

301 The coordination and information service is an entity that coordinates the use of common resources and
302 exchange of operational parameters among multiple access networks.

303 6.6 Comprehensive Network Reference Model

304 The Network Reference Model comprises further details of interfaces inside the Access Network.



305

306

Figure 8—Network Reference Model exposing Access Network details

307

308 In Figure 8 the access network is decomposed into a node of attachment (NA) and the backhaul (BH). The
309 NA represents the entity providing the link to the terminal, the interface to the backhaul, and the data for-
310 warding function between these two. The connections between NA, backhaul, and AN control are described
311 by reference points R5, R6, and R7.

312 6.6.1 Reference points

313 **R5** represents a control-only interface for the configuration and operation of the node of attachment. It
314 includes information elements for the configuration of the R6 port toward the backhaul, the R1 port toward
315 the terminal, and the data-forwarding functions inside the node of attachment.

316 **R6** represents a reference point for the physical ports between the node of attachment and the backhaul.

317 **R7** represents an interface used to control and configure the user plane within the backhaul. The backhaul
318 interconnects the NAs with the access router.

319 7. Functional design and decomposition

320 7.1 Access network setup

321 7.1.1 Dynamic spectrum allocation and access network setup procedure

322 7.1.1.1 Roles and identifiers

323 The ASA (or LSA) is a mechanism that allows radio frequency spectrum that is licensed for international
324 mobile telecommunications (IMT) to be used by more than one service entity.

325 According to FCC regulation, the Authorized Shared Access (ASA) spectrum is mainly allocated for
326 primary users to provide radio services. Secondary users may occupy the ASA to provide radio access
327 services to their customers only when the primary users are not providing radio services.

328 In order to get the operational information of primary services in the ASA spectrum, the ANC in IEEE 802
329 NRM needs to communicate with ASA-CIS first, and to get authorization before an AN or TE can turn on its
330 radio transmission in authorized shared frequency.

331 **7.1.1.1.1 ASA-enabled terminal**

332 An ASA TE operates in an authorized frequency channel, such as TV white space, which is shared with
333 primary services in the same authorized spectrum.

334 **7.1.1.1.2 ASA-enabled access network**

335 An ASA Access Network contains one or more ASA-enabled nodes of attachment. In some specifications,
336 the ASA-enabled NA is also called the master device. An NA provides radio access connectivity to the
337 ASA-enabled TEs (called slave devices) in the authorized license frequency channel, which is shared with
338 primary services in the authorized spectrum.

339 **7.1.1.1.3 ASA-enabled access network controller**

340 The authorized shared access network controller (ASA-ANC) is a function in the ANC that is used to
341 manage and control operations of ASA-enabled NAs, such as setup, provisioning, and teardown in the
342 authorized spectrum shared with primary services. The ASA-ANC also controls operations of ASA-enabled
343 TEs in the authorized shared spectrum through the reference point R8.

344 The ASA-ANC may support the following functions for coexistence with primary servers or other services
345 in the authorized shared spectrum. (Support is not limited to these functions.)

346 *Coexistence management* enables an NA to coexist with primary wireless devices in the authorized shared
347 spectrum.

348 *Coexistence discovery and information (local) server* is used to store the information used for determining
349 coexistence of NAs operating in the authorized spectrum shared with primary wireless services.

350 **7.1.1.1.4 ASA Coordination and Information Service (ASA-CIS)**

351 ASA Coordination and Information Service (ASA-CIS) is a function in the CIS of the network reference
352 model. It provides storage of the information used for the access services in the authorized spectrum shared
353 with primary services. It could be implemented as a database server to provide information service for its
354 clients. The information in ASA-CIS could include the following:

- 355 • authorized shared frequency band and channel information
- 356 • shared access spectrum geolocation information
- 357 • allowed maximum transmit power in the authorized shared access spectrum
- 358 • primary service provider and secondary service providers and their operating status
- 359 • potential neighboring services and their interference levels

360 ASA-CIS could be accessed by the ANC through the reference point R10. The ASA-ANC may have a local
361 copy in the local memory and is periodically synchronized with ASA-CIS.

362 **7.1.1.2 Use cases**

363 Dynamic spectrum allocation and access network setup is a prerequisite for radio access network operation
364 before providing services to terminals. The ASA-enabled NA shall initiate the dynamic spectrum allocation
365 procedure to determine operating frequency.

366 **7.1.1.2.1 Mutual authentication**

367 Mutual authentication is used by ASA-ANC and ASA-CIS to provide strong security and protection before
368 the AN provides authorized shared access.

369 **7.1.1.2.2 Dynamic spectrum allocation**

370 Dynamic spectrum operation is controlled by ASA-ANC. ASA-ANC queries the ASA-CIS to get the
371 channel usage information and determine the operating channel in the ASA spectrum for the radio system.
372 If there is an available channel in the ASA spectrum, ASA-ANC would set up the NA to operate in that
373 channel. Otherwise, if there is no available channel in the ASA spectrum, the ASA-ANC should not turn on
374 the NA radio.

375 **7.1.1.2.3 AN initialization**

376 AN initialization brings up an AN operating in a specified channel in the authorized shared access spectrum.
377 When the AN is operating in an authorized shared channel with the primary user, it has to notify the ASA-
378 CIS.

379 **7.1.1.2.4 AN shutdown**

380 During operation in the authorized shared access spectrum, the ASA-ANC should continue monitoring or be
381 notified of the status of shared access spectrum in ASA-CIS. If it detects information that the primary user
382 of the ASA spectrum would like to operate in the channel that is being used by the NA, the ASA-ANC
383 should disable services in the ASA channel and turn off the NA radio.

384 **7.1.1.3 Functional requirements**

385 The following requirements apply to dynamic spectrum allocation and access network setup procedure.

386 **7.1.1.3.1 Support for multiple access technologies**

387 The dynamic spectrum allocation and access network setup procedure SHOULD be able to support different
388 access network technologies.

389 **7.1.1.3.2 Support for multiple access networks**

390 The dynamic spectrum allocation and access network setup procedure SHOULD be able to support the
391 access network operating on the same or different channel of ASA spectrum from the neighboring ANs.

392 **7.1.1.4 Dynamic spectrum allocation and AN setup functions**

393 Dynamic spectrum allocation and access network setup and configuration describes the procedure for
394 operating one or multiple NAs in an authorized spectrum environment shared with primary wireless devices.
395 The procedure includes the following steps:

- 396 • ASA-CIS discovery and mutual authentication
- 397 • Querying for authorized shared spectrum information
- 398 • Configuration of the radio access network for operation in the authorized shared
399 access spectrum

400

401 **7.1.1.4.1 ASA-CIS discovery and mutual authentication**

402 ASA-CIS discovery and mutual authentication is the process through which an AN finds and authenticates
403 the ASA-CIS used to store authorized shared spectrum usage information for a given area, before querying
404 the ASA-CIS to get the information about authorized shared spectrum usage.

405 The ASA-ANC may be preconfigured with the IP address or URL of the ASA-CIS server.

406 When ASA-ANC is powered up, it may load the default shared spectrum list, and it shall automatically
407 communicate with ASA-CIS using preconfigured ASA-CIS information. If ASA-ANC can not
408 communicate with ASA-CIS server, radio operation in the shared spectrum is not allowed for the NAs.

409 The communication between ASA-ANC and ASA-CIS should follow the protocols specified by the R10
410 reference point.

411 Once ASA-ANC receives the response from ASA-CIS, it shall start the mutual authentication with the ASA-
412 CIS to make sure that the ASA-CIS being communicated with is the correct one.

413 **7.1.1.4.2 Querying for authorized shared spectrum information**

414 Querying for authorized shared spectrum information is the process by which information is acquired from
415 ASA-CIS about authorized shared spectrum usage.

416 Before operating in authorized shared spectrum, the ASA-ANC needs to query the ASA-CIS to get
417 information about authorized shared spectrum usage, using the protocols specified by the R10 reference
418 point. Once it has received the usage status of authorized shared spectrum, the ASA-ANC can determine
419 whether the AN can operate in a particular channel.

420 During operation in authorized shared spectrum, the ASA-ANC needs to constantly query the ASA-CIS to
421 get usage status updates about the authorized shared spectrum.

422 **7.1.1.4.3 Operating in authorized shared spectrum**

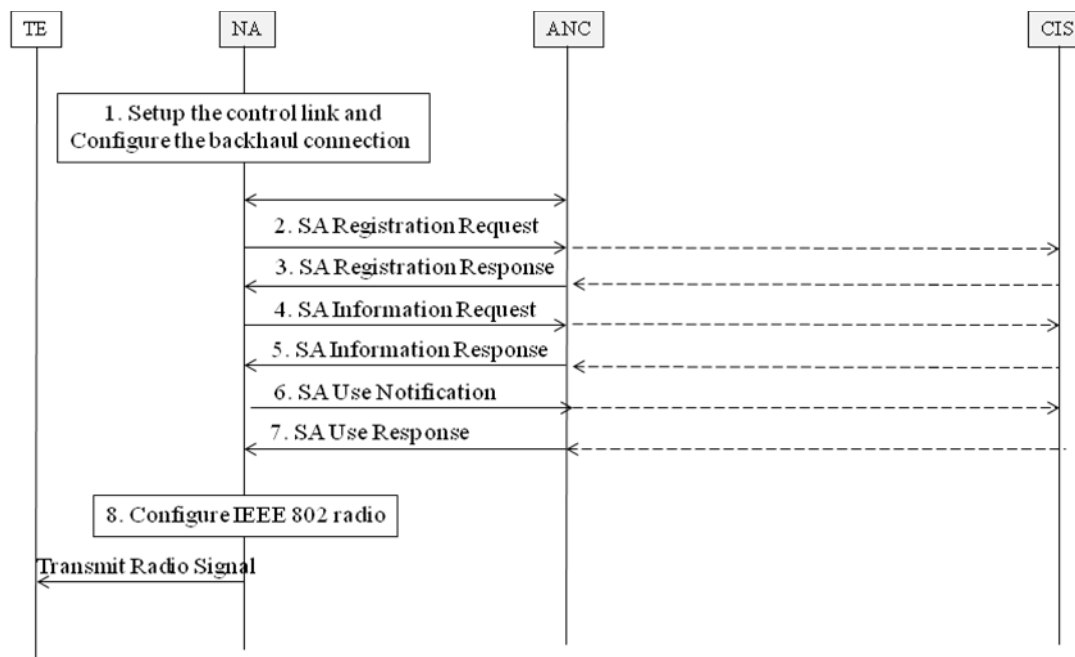
423 Operating in authorized shared spectrum involves enabling the radio transmission of AN and informing the
424 surrounding TEs about the operating channel, transmit power, and other radio parameters.

425 Once the AN is operating in the authorized shared spectrum, the ASA-ANC is responsible for controlling
426 the radio transmission of NAs and TEs in the operating channels to meet the authorized shared access
427 regulations in the given area.

428 **7.1.1.5 Detailed procedure**

429 **7.1.1.5.1 AN setup**

430



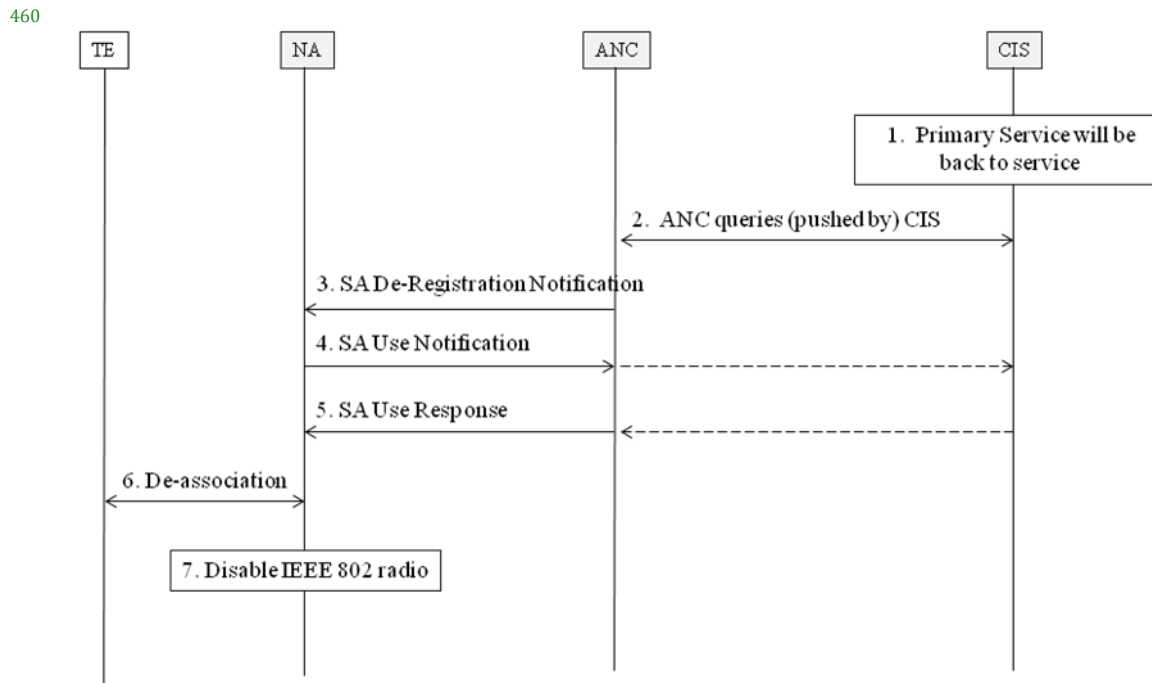
431 **Figure 9—An example of the procedure for IEEE 802 access network setup**

432

- 433 1) When IP connection is established after boot-up, the NA should discover the URI of ASA-ANC
 434 through preconfigured information. NA may update its stored URI information to adapt the deploy-
 435 ment change. The NA would then send an SA registration request message through the reference
 436 point R5 to the ANC to register with the ASA-ANC for shared access service operation over the
 437 authorized shared spectrum. The SA registration request is used to provide information about the
 438 NA to the ASA-ANC, including, for example, subscription and location information for ASA opera-
 439 tion. The ASA-ANC may forward this SA registration request message to the ASA-CIS for authen-
 440 tication and authorization over the reference point R10 using an appropriate protocol.
- 441 2) The ASA-CIS authenticates the NA to determine operation on the shared spectrum. The ASA-CIS
 442 sends a response message to ASA-ANC about the authentication and authorization result. Then the
 443 ASA-ANC sends the SA registration response message to the NA upon receiving the response mes-
 444 sage from the ASA-CIS.
- 445 3) Once the registration for the shared access service succeeds, the NA can query the ASA-CIS, by
 446 sending an SA information request message to the ASA-ANC, to get shared spectrum usage infor-
 447 mation and status.
- 448 4) The ASA-ANC communicates with ASA-CIS over the reference point R10 to get shared spectrum
 449 usage information and status and sends it back to the NA.
- 450 5) Based on received shared spectrum information and status, the NA decides how to provide wireless
 451 services in the shared spectrum. If the NA will provide wireless access services in the shared spec-
 452 trum, it sends an SA usage notification message to the ASA-ANC for updating the shared spectrum
 453 usage status.
- 454 6) The ASA-ANC sends an acknowledgment message to the NA after it communicates the updated
 455 shared spectrum usage to ASA-CIS.

456 7) The NA can then turn on its radio transmission in the authorized shared spectrum to provide access
457 services. The NA may provide radio configuration information used for the ASA spectrum to the
458 TEs in the overhead message, in order to control the interference to the primary services.

459 **7.1.1.5.2 AN teardown**

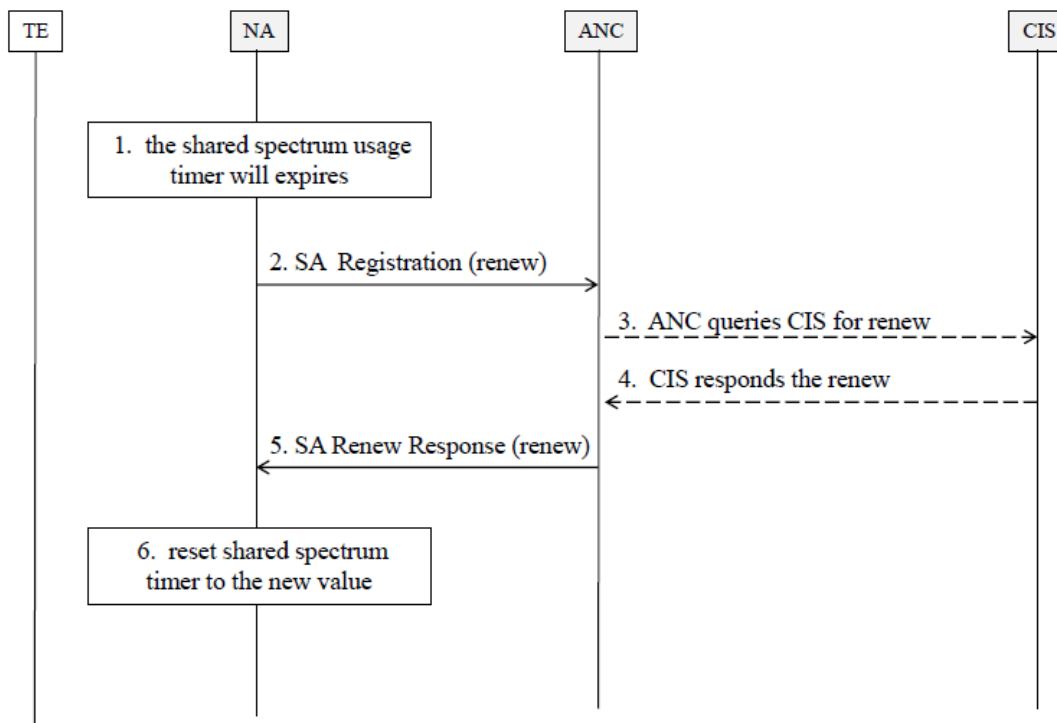


461 **Figure 10—An example of the procedure for IEEE 802 network teardown**

- 462
- 463 1) The primary service is back operating in the authorized shared spectrum and has notified ASA-CIS.
- 464 2) ASA-ANC gets the authorized shared spectrum usage status update information via either periodical
465 query or registered notification service with ASA-CIS. If the ASA-ANC has registered a notification
466 service with ASA-CIS, the ASA-CIS should receive the notification when the primary service status
467 changes or when the period of time has expired for authorized use of shared spectrum.
- 468 3) When ASA-ANC receives the notification about authorized shared spectrum usage, it shall send the
469 de-registration notification to the existing registered NAs operating in the authorized shared fre-
470 quency channels, to force them to tear down existing services.
- 471 4) Once the NA receives the de-registration notification, it shall respond with a use notification to indi-
472 cate it will shut down its radio service in the authorized shared frequency channels.
- 473 5) The ASA-ANC and ASA-CIS update the record in the database and notify the NA.
- 474 6) The NA then starts the procedure of de-association with TEs operating in the authorized shared fre-
475 quency channels, or it immediate enters step 7).
- 476 7) NA disables its radio transmission.

477 7.1.1.5.3 AN renewal

478



479

Figure 11—An example of the procedure for IEEE 802 network renewal

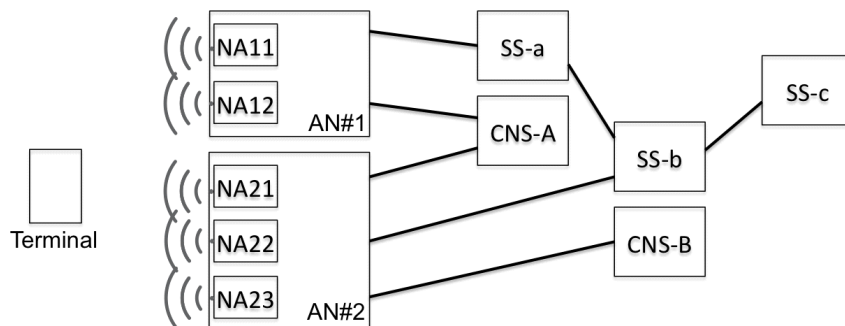
- 480 1) The NA is operating in the shared spectrum and sets up a timer to track the granted period of operation.
481
- 482 2) When the shared spectrum use timer expires, the NA sends an SA registration message to the ASA-
483 ANC, to renew the use of shared spectrum.
- 484 3) The ASA-ANC forwards the registration renewal message to ASA-CIS.
- 485 4) If no primary service will occupy the shared spectrum for the renewal period, the ASA-CIS will
486 grant the renewal request. Otherwise, it will reject the renewal request.
- 487 5) ASA-ANC forwards the CIS renewal response to the NA in the SA registration response message.
- 488 6) If the renewal request is granted, the NA will reset the timer for shared spectrum operation to the
489 new granted period and continue operation in the shared spectrum.

490 7.2 Access network discovery and selection

491 7.2.1 Introduction

492 *Access network discovery and selection* describes the process by which a terminal detects the available
493 access networks, followed by retrieval of information about each of the access network, and finally the
494 evaluation of the collected information in order to determine the most appropriate node of attachment for the
495 succeeding connection.

496



497

498

Figure 12—Network discovery scenario with multiple SSs and ARs

499 The process is usually executed either when a terminal performs its initial network entry after power on, or
500 when a terminal lost or is going to lose its network connectivity and prepares for re-entry at another node of
501 attachment, or when a terminal moves across an access network coverage area built by multiple nodes of
502 attachment and the terminal relocates the link to another point of attachment to maintain best possible
503 network connectivity during the move.

504 7.2.2 Roles and identifiers

505 7.2.2.1 User

506 *User* represents the unique identity of a subscription. A user may have subscriptions with one or more
507 subscription services. Unique subscription identifiers are created by a username amended by the identity of
508 the subscription service.

509 ID of User: Subscription Identifier {NAI} + Subscription Name {String}

510 7.2.2.2 Terminal

511 *Terminal* represents the physical device communicating with the core network service making use of an
512 access network to establish the link. A unique identifier is assigned to each of the terminals.

513 ID of Terminal: {EUI48} or {EUI64}

514 7.2.2.3 Node of Attachment

515 *Node of attachment* is the physical device at the edge of the access network creating the communication link
516 to the terminal. Different NAs may have different capabilities.

517 ID of Node of Attachment: {EUI48} or {EUI64}

518 7.2.2.4 Access Network

519

520 *Access network* denotes the infrastructure consisting of one or more Nodes of Attachment and the related
521 backhaul for providing the communication links between the nodes of attachment and one or more interfaces
522 to connected core network services.

523 ID of Access Network: ANI {EUI-48} + AN Name {String}

524

525 7.2.2.5 Subscription Service

526 The subscription service is the entity establishing and maintaining user-specific configuration and usage
527 data. For security reasons, the subscription service performs authentication of the corresponding terminal.
528 Subscription service is commonly known as termination point of AAA.

529 ID of Subscription Service: SSI {FQDN} + SS Name {String}

530 7.2.2.6 Core Network Service

531 *Core network service* denotes the termination point of the user plane of a terminal. Multiple terminals may
532 connect to the same core network service, but there may be several core network services available by an
533 access network. When multiple access routers are available to a terminal, the selection of which access
534 router is used is based on authorization information from the subscription service. The terminal may indicate
535 a preference by signaling to the subscription service during the authentication process.

536 ID of Core Network Service: CNS Identifier {??? - ffs} + CNS Name {String}

537 7.2.3 Use cases

538 Network discovery and selection is a prerequisite for a mobile terminal to establish and maintain network
539 connectivity. A terminal initiates the network discovery and selection process for the following four reasons.

540

541 7.2.3.1 Initial AN access

542 Initial AN access describes the case when a terminal is powered up or the network interface of the terminal
543 is enabled and network connectivity initially does not exist without any prior knowledge about the
544 availability of NAs.

545 In this case the terminal usually performs a complete network discovery process to learn about all reachable
546 NAs before executing the selection process from the root.

547 7.2.3.2 AN re-entry

548 In this case the terminal has lost, or has not yet established, network connectivity, but has some stored
549 information about the last AN and the last NA to which it was connected. When selection policies prefer to
550 re-establish connectivity to the last used AN, the terminal will try to execute an abbreviated NDS process by
551 directly checking for the reachability of the last used NA. This process optimization makes particular sense
552 when the access technology allows for active scanning, resulting in much faster network connectivity
553 establishment.

554 When AN re-entry is not possible due to movement of the terminal completely out of the previously used
555 coverage area, the terminal will perform an initial AN access process. Statistically, however, performing a
556 AN re-entry trial before falling back to an initial AN access provides benefits, even when the worst case
557 lasts longer than going straight into an initial AN access process.

558 7.2.3.3 NA transition

559 The network discovery and selection process is initiated not only when network connectivity is missing but
560 also when the terminal detects degradation of network connectivity that endangers loss of connectivity. In
561 this case the terminal provisionally searches for another NA offering better link conditions than the NA to
562 which it is currently connected.

563 When another NA of the same AN with better link conditions exists, the terminal will initiate a relocation of
564 its ongoing network connectivity to the other NA while maintaining all upper-layer connectivity states. Such
565 a transition is commonly denoted as seamless handover.

566 **7.2.3.4 AN transition**

567 When connectivity is in danger but seamless handover to another NA of the same AN is not possible, the
568 terminal will carry through a discovery process for other ANs allowing for network connectivity. Usually
569 the transition of ongoing connectivity to another AN will cause some disruption. How long connectivity is
570 broken, and whether upper-level connection state can be maintained, depend on the particular AN
571 arrangements and implementations.

572 Usually interruption of connectivity during AN transition is much longer than during NA transition, but
573 often much less severe than for initial AN access, which completely resets the whole communication stack.

574 **7.2.4 Functional requirements**

575 The following requirements apply to the NDS procedures.

576 **7.2.4.1 Support for multiple access technologies**

577 The NDS procedures SHOULD be able to handle, within the same terminal, various access technologies
578 with different characteristics.

579 **7.2.4.2 Support for multiple different access networks supporting the same or different sub-** 580 **scription services**

581 The NDS procedures SHOULD to able to handle multiple different access networks based on the same or
582 different access technologies serving the same or different subscription services.

583 The NDS procedures SHOULD support access networks served by multiple subscription providers.

584 **7.2.4.3 Support for multiple subscriptions on the same access technologies.**

585 The NDS procedures SHOULD support multiple different subscriptions using the same access technology
586 and/or the same access network. They SHOULD also allow for the usage of the same subscriptions on
587 multiple different access technologies.

588 **7.2.4.4 Extensibility to support specific service requirements**

589 The NDS procedures SHOULD support upper-layer service-specific attributes to enable different treatment
590 of various access technologies and access networks depending on service requirements.

591 **7.2.4.5 Discovery of access network capabilities**

592 The NDS procedures SHOULD NOT require establishing *a priori* knowledge within the terminal about
593 offered services of the existing access networks to perform the selection process.

594 The discovery procedures SHOULD allow retrieving service-specific attributes.

595 **7.2.5 NDS specific attributes**

596 Each of the entities involved in the NDS process comprises information elements, which are helpful or
597 required when processing the NDS procedures. The following list provides examples of these information
598 elements:

599 **7.2.5.1 User (Subscription)**

600 Access policies

601 **7.2.5.2 Access Network**

602 Supported Subscription Services

603 • LIST of Subscription Service IDs

604 • Cost, limitations per

605 Supported Core Network Services

606 • LIST of Core Network Service IDs

607 AN certificate

608 • CERTIFICATE

609 Access Network Capabilities

610 • LIST of Link Layer capabilities

611 • E.g. MTU, encryption, type of link, privacy

612 RECORD of Link Layer performance parameters

613 • E.g. supported service classes (Throughput up/down, delay, jitter)

614 **7.2.5.3 Subscription Service**

615 Supported Core Network Services

616 • LIST of Core Network Service IDs

617 SP certificate

618 • CERTIFICATE

619 **7.2.5.4 Core Network Service**

620 Network Layer Capabilities

621 • LIST of Capabilities

622 • E.g. IP versions, configuration, service discovery support

623 Network Interface performance

624 • LIST of performance parameters

625 • E.g. supported service classes (throughput up/down, delay, jitter)

626 Offered application services

627 • LIST of application services

628 • E.g. Internet, Voice, Printer, File service

629 **7.2.6 NDS basic functions**

630 **7.2.6.1 NA Discovery**

631 NA discovery is the process in the terminal to retrieve the list of nodes of attachment, which can be reached
632 via the physical medium. The discovery process executed is specific for a particular access technology, but a
633 terminal comprising multiple different network interfaces may initiate and perform the process concurrently
634 on all or on a subset of its network interfaces.

635 NA discovery can be based on either passive scanning or active scanning.

636 When performing a passive scan, the terminal turns on the receiver path of its network interface and
637 “listens” sequentially to all channels of the medium for messages indicating the existence of an active Node
638 of Attachment. A complete scan may take quite some time depending on the periodicity of the indication
639 messages and the number of channels. When sped up by methods taking *a priori* knowledge into account,
640 the process of passive scanning may deliver specific or initial results earlier, but a complete scan always
641 takes the time of periodicity of indication messages by number of channels. As passive scanning of radio
642 does not emit any radio waves, the approach complies with any radio regulation framework.

643 Active scanning comprises a trigger sent out by the terminal to initiate directed responses of nodes of
644 attachment. By its nature, active scanning is able to deliver results much faster but requires the terminal to
645 transmit information frames on all channels of the network interface. Before sending out frames the terminal
646 may be required to determine the regulatory domain in which it is operating to ensure that transmissions
647 comply with the applicable regulatory requirements.

648 NA discovery provides a list of nodes of attachment reachable by the terminal at its particular location.

649 **7.2.6.2 AN detection**

650 AN detection is the process to determine the identities and the capabilities of the access networks in reach.
651 The terminal retrieves, for each of the detected NAs, the identity of the access network to which the NA
652 belongs.

653 Further information about capabilities of the detected ANs—like networking and performance parameters,
654 as well as supported subscription and core network services—is derived either from broadcast advertisement
655 information from a preconfigured local database, or from queries to remote databases. Remote databases
656 may be available over specific link procedures in the NAs or access networks, or even over network
657 connectivity anywhere in the network, when some other connectivity exists during the AN detection
658 process.

659 7.2.6.3 SS detection

660 SS detection is the process to determine the subscription services, which can be used for establishment of
661 access to the detected ANs. The process creates a list of all available subscription services, with information
662 about the availability and preference of subscription services for each of the detected ANs.

663 Information about available subscription services is usually collected during AN detection. There may also
664 be information, stored in the terminal as part of the authentication credentials, which provides all the ANs
665 usable through each of the credentials.

666

667 7.2.6.4 CNS detection

668 CNS detection is the process to retrieve the core network services, accessible through the detected access
669 networks. The process establishes a list of all available core network services, with information about the
670 availability and preference of subscription services for each of the detected core network services.

671 The information about available core network services is usually taken from the information collected
672 during the AN detection, but there is usually information available in the terminal as part of the subscription,
673 which amends the information derived from the AN detection process.

674

675 7.2.6.5 SS and CNS selection

676 SS and CNS selection is a multi-dimensional selection process in the terminal making the best choice among
677 the detected subscription services and core network services under the preferences, restrictions, and
678 limitations imposed by the available subscriptions. The selection process may perform a weighted
679 evaluation of all available information down to interface parameters of the physical link to the point of
680 attachment.

681 The selection process may be either hard-coded in the terminal as part of the operating software, or be
682 configurable by policies provisioned to the terminal.

683 7.2.7 Detailed procedures

684 7.2.7.1 First-time use of TE without subscription

685 The TE performs in steps a) through c) an NDS procedure to find appropriate SS for creation of a new
686 subscription. Online subscription set-up is performed in steps d) through e).

687 *[What about f)?*

- 688 a) TE runs NA Discovery and AN Detection, and finds one or more available ANs.
- 689 b) TE runs SS Detection and CNS Detection, and finds available SSs and CNSs, and their associations
690 with the ANs.
- 691 c) TE performs SS and CNS Selection, and determines an AN and a SS based on defined preference
692 criteria for running the subsequent online subscription set-up.
- 693 d) TE performs a special connection procedure with the selected AN for establishment of a subscrip-
694 tion.
- 695 e) TE creates a trust relationship enabling network access authentication and authorization by the
696 selected SS.
- 697 f) TE acquires and stores the subscription of the selected SS.

698 **7.2.7.2 Initial AN access**

699 The TE is equipped with one or more subscriptions, and attempts to establish a network connection after
700 being switched on or moved into a coverage area.

- 701 a) TE runs NA Discovery and AN Detection, and finds one or more available ANs.
- 702 b) TE runs SS Detection and CNS Detection, and finds available SSs, CNSs, and their associations
703 with the ANs.
- 704 c) TE performs SS and CNS Selection according to the provisioned subscriptions, and determines the
705 preferred AN and SS for establishing network connectivity.
- 706 d) TE performs a network entry procedure toward the selected AN, making use of the selected SS for
707 authentication and authorization.

708 **7.2.7.3 NA transition**

709 The TE discovers that the link to the current NA is getting weak and decides to pursue a transition to another
710 NA of the same AN to maintain good link quality.

- 711 a) TE runs NA Discovery, and finds one or more other NAs belonging to the same AN to which the TE
712 is currently connected.
- 713 b) TE selects the NA for transition and performs a network entry procedure to new NA making use of
714 the currently used subscription and SS for authentication and authorization of access. If supported
715 by access technology for faster handover, the TE may pre-establish the connectivity to the new NA
716 through messaging with the AN via the current NA.
- 717 c) When connectivity to new NA is established, the TE turns down connection to previous NA.

718 In the case of failure, TE reverts to initial AN access.

719 **7.2.7.4 AN re-entry**

720 The TE recently lost network connectivity, and finds by NA discovery that NAs of the same AN are
721 accessible. To re-establish network connectivity with same SS and CNS, the TE attempts to connect to NA
722 of previously used AN.

- 723 a) TE runs NA Discovery and finds one or more other NAs belonging to the same AN, to which the TE
724 was previously connected.
- 725 b) TE selects the NA for connection establishment and performs a network entry procedure to the NA,
726 making use of the previously used subscription and SS for authentication and authorization of
727 access.
- 728 c) Depending of duration of the connectivity break, the TE may or may not attempt to resume the pre-
729 vious communication link to the CNS.

730 In case of failure, TE reverts to initial AN access.

731 **7.2.7.5 AN transition**

732 The TE discovers that the link to the current NA is getting weak and decides to pursue a transition to the NA
733 of another AN, which provides service to the same SS and CNS as currently used.

- 734 a) TE runs NA Discovery and AN Detection, and finds that there is another AN, with service to the
735 same SS and CNS, that would provide better link quality.
- 736 b) TE decides to transition network connectivity to the other AN for continuation of service to the cur-
737 rent SS and CNS.

- 738 c) TE selects the NA for transition and performs a network entry procedure to new NA, making use of
739 the currently used subscription and SS for authentication and authorization of access, and requesting
740 connectivity to the currently used CNS.
- 741 d) Depending of the capabilities of the TE and the CNS, the TE may or may not attempt to resume the
742 communication link to the CNS.

743 In case of failure, TE reverts to initial AN access.

744 **7.2.8 Mapping to IEEE 802 technologies**

745 **Table 1—Title?**

746

		802.3	802.11	802.15.?	802.16	802.22
Identifiers	TE	EUI-48	EUI-48	EUI-64	EUI-48	EUI-48
	NA	EUI-48	EUI-48	EUI-64	EUI-48	EUI-48
	ANI	???	EUI-48	???	EUI-48	EUI-48
	AN-name	256 Char	30 Char	???		
Subscription Type		NAI	NAI/PSK	??*/PSK	NAI	NAI
Multiple SSs		Info	ANQP	-	?	-
Discovery process		manual	passive, active	passive, active	passive	passive

747

748 **7.2.9 Additional capabilities in IEEE 802 technologies**

749 **7.2.9.1 IEEE 802.3**

750 For further study.

751 **7.2.9.2 IEEE 802.11**

752 IEEE 802.11 provides a number of functional enhancements to support more complex deployments:

- 753 • Access Network Query Protocol
- 754 • Pre-Association Discovery Protocol
- 755 • Network triggered NDS
756 E.g., Directed NA transition
- 757 • Online subscription establishment
758 E.g., Hotspot 2.0 “Online Sign Up”

759 **7.2.9.3 IEEE 802.15**

760 For further study.

761 **7.2.9.4 IEEE 802.16**

762 For further study

763 **7.2.9.5 IEEE 802.22**

764 For further study

765 **7.3 Association and disassociation**

766 **7.4 Authentication and trust establishment**

767 **7.5 Datapath establishment, relocation, and teardown**

768 **7.6 Authorization, QoS, and policy control**

769 **7.7 Accounting and monitoring**

770 **8. SDN abstraction and functional decomposition**

771 **8.1 Introduction**

772 Software Defined Networks (SDN) is a new paradigm based on the splitting of control and data planes of
773 networking elements. Basically it works by pushing the intelligence related to the operation of a certain
774 service to a central controller, while the data path (user data packets) is handled based on the orders of the
775 central controller in separate and specialized elements. Within the IEEE 802 set of technologies, there are
776 multiple functionalities that can be designed based on the SDN paradigm. This document presents several
777 use cases showcasing these functionalities:

- 778 • Setup of interfaces and nodes
- 779 • Detection of node attachment
- 780 • Path Establishment
- 781 • Path Teardown
- 782 • Path Maintenance
- 783 • Path relocation
- 784 • Affecting the behavior of Coordination and Information System
- 785 • Configuration of connection between the Core Network and the Access Network
- 786 Event handling
- 787 • Statistic gathering

788 **8.2 Roles and identifiers**

789 Controller: Application that manages different behaviors (e.g., flow control) in a Software Defined
790 Networking environment.

791 Data path element: Hardware/Software entity in charge of executing the orders from the controller, affecting
792 the path through which data is forwarded.

793 **8.3 Use cases**

794 **8.3.1 Setup of interfaces and nodes**

795 Through SDN a central controller can implement a control logic enabling it to configure several parameters
796 in the nodes and interfaces of the data path. Within the possible set of configuration parameters there are
797 three main families:

- 798 • SDN control configuration
- 799 • Short time-scale configuration
- 800 • Long time-scale configuration

801

802 SDN control configuration refers to the required setup of the parameters ruling the communication between
803 the data path element and the controller. These parameters may include IP address of the controller, kind of
804 protocol, VLAN or interface used for the communication, and certain timers governing the transmission of
805 keepalive messages or teardown procedures in case of failure. These configuration parameters must also
806 include the different timers, ports, and protocols used for the communication between the controller and the
807 data path element.

808 Short time-scale configuration refers to the configuration of parameters that may change in very short time
809 scales. For example, transmission power, MAC QoS parameters, antenna selection, etc.

810 Long time-scale configuration refers to the long-term configuration of the node or interface; the parameters
811 used by the controller are the typical ones an OAM system may use. Examples of these parameters include
812 the operational frequency, configuration regarding credentials or authentication servers to use, supported
813 authentication modes, VLAN configuration, etc.

814 **8.3.2 Detection of terminal attachment**

815 A very important operation required to use SDN control in the access network is the ability to detect the
816 attachment of new terminals. The user's terminal typically will not include any kind of SDN software or
817 contain the functionality to detect that it is connecting to a network using an SDN controller. Therefore,
818 some mechanism is needed to handle the detection of the terminals while attaching to the network PoAs. In
819 a PoA where the wireless interface (IEEE 802.11) is bridged to a switch, this detection of terminal
820 attachment can be done thanks to the switch sending an LLC SNAP message upon attachment of a new
821 terminal. In other technologies some other mechanisms should be analyzed.

822

823 **8.3.3 Data path establishment**

824 An IEEE 802.1CF network does not include the IP layer, hence path establishment mechanisms using
825 above-layer-2 information are outside the scope of this document. In order to establish a path, a controller
826 must be informed of the new flow, including its requirements in terms of capacity, delay, and jitter. After
827 receiving this information the controller can compute the best possible path and communicate this decision
828 to the data path elements. After this, the data path elements will enforce the controller decision on the
829 different packets traversing the data path.

830

831 In order to perform the data path establishment, the following information/functionality is required:

- 832 • Topology information
- 833 • Mechanisms to compute best path based on some criteria
- 834 • Communication mechanisms to set specific rules in the data path to decide output
835 port/modifications to frame
- 836 • The data path must support some mechanism for packet matching. This mechanism
837 can be arbitrarily complex. It can include simple mechanisms such as input port matching or
838 VLAN tag matching, or complex rules indicating logical combination of parameters,
839 including internal state of the data path element.
- 840 • The data path must support the application of forwarding rules to the input traffic. In
841 this way the decisions taken by the controller will be applied and the packet will be sent
842 through the appropriate output port.
- 843 • The data path element may support actions over the packets and internal state. The
844 data path element may be able to modify certain parts of the packet and modify internal
845 state variables, such as counters, monitoring variables, etc..

846 **8.3.4 Data path teardown**

847 A certain path can be created for a specific flow. Once the flow finishes, there is no need to have the path
848 established any longer, freeing resources allocated to the path. In order for the controller to tear down a path,
849 it first needs to determine that the path can be deactivated. This can be done through monitoring metrics or
850 flow information coming from the flow originator. Once the controller knows that the path can be removed,
851 it can communicate its decision to the data path elements. At that time, all data path elements should remove
852 the stored state corresponding to the path.

853 **8.3.5 Data path maintenance**

854 Typically a data path element will configure forwarding rules with a certain lifetime. The rules must be
855 updated within their lifetime, or the data path element will remove them. Upon expiration of the rule, the
856 data path element should inform the controller about the removal of the rule. In this way, the controller can
857 keep records of the current status of the paths in the network.

858 **8.3.6 Control path maintenance**

859 In the same way as with data paths, the communication between the controller and the different data path
860 elements must be kept alive through the exchange of some control packets. The actual configuration of the
861 timers to use should be one of the parameters considered in 8.3.1.

862 *[Check ref; no autoupdating here]*

863 **8.3.7 Path relocation**

864 Due to reasons such as traffic engineering, movement of the terminal, or QoS degradation, it may be
865 necessary to relocate a data path. Relocation is intended to change the data path elements the data path goes
866 through, while keeping the most similar allocation of resources. This functionality can be divided in a
867 sequence of Data Path establishment and Data Path Teardown.

868 **8.3.8 Affecting the behavior of Coordination and Information System**

869 Terminals and network nodes can rely on Coordination and Information Services (CIS) to gather
870 information helping them to make some decision, such as candidate network selection, channel to use, etc. A
871 controller may interact with the CIS in a standalone way, or it may mediate the communication between the
872 terminal and the CIS. This latter approach allows the controller to modify, apply policies, add more
873 information, or simply query different servers based on terminal information such as its user profile.

874 **8.3.9 Configuration of connection between the Core Network and the Access Network**

875 TBD

876 **8.3.10 Event handling**

877 TBD

878 **8.3.11 Statistics gathering**

879 TBD

880 **8.4 Functional requirements**

881 The following requirements apply to the SDN procedures.

882 **8.4.1 Support of a control connection between the different data path elements and the con-** 883 **troller**

884 Elements in the network subject to communicating with or being controlled by a controller SHOULD use a
885 secure control connection for the communication. This includes the terminal in case it communicates with
886 the controller.

887 **8.4.2 Support for data path elements with heterogeneous technology interfaces**

888 Controllers SHOULD support the configuration of parameters for multiple technologies. Abstract
889 parameters common for multiple technologies SHOULD be used when possible. The data path element
890 SHOULD provide common controlled behaviors to all the interfaces attached to it, regardless of their
891 technology.

892 **8.4.3 Support of communication mechanisms between the terminal and the controller**

893 The terminal SHOULD use a secure communication channel to communicate with the controller.

894 **8.4.4 Support of per-packet matching, forwarding rules, and actions in the data path ele-** 895 **ment**

896 Data path elements SHOULD include mechanisms for packet matching, forwarding rules, and actions
897 (packet modifications).

898 **8.4.5 Support of state recording in the data path elements**

899 Data path elements SHOULD be able to store operational parameters so they can be retrieved for
900 monitoring.

901 **8.4.6 Support of security associations between the controller and the data path elements**
902 **(including terminal)**

903 TBD

904 **8.4.7 Support of security associations between controllers that belong to the AN and AR**

905 *change CN to AR throughout*

906 TBD

907 **8.4.8 Support of security associations between AN controllers and CIS servers**

908 TBD

909 **8.5 SDN specific attributes**

910 This section lists possible parameters for the different functions involved in the SDN operation.

911 **8.5.1 Abstract parameters**

- 912 — Supported Rates
- 913 — TxPower, TxPower levels supported
- 914 — Operational Frequency
- 915 — Statistics: Tx error, Rx error, Number of stations

916 **8.5.2 Terminal Configuration**

917 Terminal Controller

- 918 — LIST of control capabilities
- 919 — LIST of interfaces and their capabilities
- 920 — LIST of protocols to manage interfaces
- 921 — E.g., interface X supports CAPWAP+OF

922 Interface

- 923 • Abstract parameters
- 924 • LIST of parameters to be configured

925 Technology specific: e.g., BSSID to connect to, RTS Threshold, short retry, long
926 retry, fragmentation threshold, Tx/Rx MSDU lifetime, enable Block Ack, etc.

927 S Security parameters (technology dependent)

928 **8.5.3 Access Network Configuration**

929 **8.5.3.1 Configuration of interfaces**

- 930 • Abstract parameters
- 931 • LIST of parameters to be configured

932 Technology specific: e.g., BSSID, RTS Threshold, short retry, long retry,
933 fragmentation threshold, Tx/Rx MSDU lifetime, enable Block Ack, etc.

934 Technology-specific security parameters: WPA/WPA2/WEP, parameters for key
935 management, etc.

936 • Queue configuration: Capacity, max number packets, rate limitation

937 **8.5.3.2 Configuration of nodes**

938 • Parameters to configure the connection to controller:

939 Protocol+port

940 Credentials

941 Output physical port to use for connection to controller

942 ID

943 **8.5.3.3 Configuration of data path ports**

944 • VLAN configuration

945 • Number of tables

946 **8.5.4 Data path establishment**

947 • Matching rule and actions

948 - Matching rule definition and associated actions

949 **8.5.5 Triggering technology-specific features**

950 • Type for feature

951 - E.g., send 802.11v frame, configure 802.11aa groupcast mode

952 • Content of feature

953 - E.g., BSS to attach to, groupcast mode, concealment address, stations to be
954 added

955 **8.5.6 Interacting with CIS**

956 • Parameters to enable the communication

957 - Protocol to be used, credentials

958 • Adding/removing/modifying information at the CIS

959 - CIS specific

960 - E.g., IE elements to add to ANQP

961 **8.5.7 Communication between CN and AN**

962 TBD

963 **8.5.8 Event handling**

964 TBD

965 **8.5.9 Statistics gathering**

966 TBD

967 **8.6 SDN basic functions**

968 Controller discovery and configuration is outside the scope of IEEE 802.1CF.

969 **8.6.1 Configuration of interfaces**

970 Once a data path element or a terminal is attached to a controller, it can configure the different
971 characteristics of the interface. A typical example of this can be taken from the world of IEEE 802.11, where
972 WLAN controllers configure the different parameters of the technology. The typical parameters that the
973 controller will set up are operational frequency and transmission power. Depending on the technology, the
974 controller will also be able to configure additional parameters such as RTS threshold or ESSIDs/BSSIDs of
975 the different APs. The actual configuration to be installed may come from different sources ranging from
976 fully automatic algorithms computing the best allocation of, e.g., frequency/transmission power to static
977 allocations.

978 **8.6.2 Data path establishment/modification**

979 Once the controller is connected to the data path elements, it must decide the path data flows will follow.
980 Depending on the technology of choice (e.g., OpenFlow, MVRP, SNMP, etc.) the data path will be installed
981 based on static rules such as port allocated VLANs, or it will be installed based on intelligent packet-
982 matching rules. As a result of this operation, each data path element should have a rule stating the
983 forwarding behavior for packets belonging to a certain flow. The computation of the path to be installed
984 depends on the technology of choice for the controller, since there are technologies computing a path in a
985 distributed way and technologies that can run traffic engineering and policying algorithms.

986 In addition to the proactive instantiation of a path described in the above text, a data path element may
987 reactively interact with the controller due to some event, new packet arrival, or pre-installed rule among
988 others. Following this, the data path element may interact with the controller in order to build a path for a
989 specific new flow that has just appeared and, for any reason, should not be forwarded through the pre-
990 configured paths.

991 **8.6.3 Data path teardown**

992 Once a data path is no longer in use, the rules indicating the forwarding behavior for each data path element
993 may be removed. Generally this is done through lifetime timer expiration, but the controller can choose to
994 remove the rules actively. Note that rules installed in a data path can also be permanent or semi-permanent,
995 not requiring the refreshing of the controller.

996 **8.6.4 CIS communication and controller as proxy for CIS**

997 Nowadays CIS databases are filled with information provided by multiple sources but controlled by the
998 operator. It would be desirable for the AN controller to be able to communicate with the CIS system in order
999 to add,remove, or modify its information based on its knowledge about the network. One example would be
1000 to update the list of services being advertised in 802.11aq based on information obtained from the network.

1001 In addition to this, a controlled network can be configured in such a way that the controller is used as proxy
1002 for the CIS communication. In this way the controller will get the answer from the CIS and can modify it
1003 accordingly to get some expected behavior in the network. For example, a controller may be used as proxy
1004 to access a MIIS and, after receiving the response, filter it to remove the surrounding networks that do not
1005 belong to a specific operator, in this way enforcing some policy in the terminal.

1006 **8.6.5 Triggering technology-specific functionality from the controller**

1007 Although SDN controllers have been used typically to just set up data paths in the network and configure
1008 characteristics of the interface, the complete possibilities of controlling the specific features of the
1009 technologies have not been yet analyzed. The use of technology-specific features by a controller can yield
1010 further advantages for the network. For example, a controller may configure the QoS across a mix of
1011 wireless and wired domains, by triggering the QoS configuration mechanisms of each technology. Another
1012 example may use management frames of IEEE 802.11v to control the point of attachment of the user
1013 terminal. To open all this functionality, the controller needs a clear view of the interface capabilities and new
1014 APIs to trigger it in a remote way.

1015 **8.6.6 Event handling**

1016 TBD

1017 **8.6.7 Statistics gathering**

1018 TBD

1019 **8.7 Detailed procedures**

1020 TBD

1021 **8.8 Functional design and decomposition**

1022 **Annex A**

1023 (normative)

1024 **PICs proforma**

1025 **Annex B**

1026 (informative)

1027 **Bibliography**

1028 Bibliographical references are resources that provide additional or helpful material but do not need to be
1029 understood or used to implement this standard. Reference to these resources is made for informational use
1030 only.

1031 [B1] ISO/IEC 7498-1

1032 [B2] IEEE Std 802.1AC

1033 [B3] IEEE 802.19.1 D3.06 Draft Standard for TV White Space Coexistence Methods

1034 [B4] IEEE 802.19

1035 [B5] IETF draft-ietf-paws-protocol-12 Protocol to Access White-Space (PAWS) Databases

1036 [B6] RFC 787

