Project: IEEE P802.15 Working Group for Wireless Personal Area Networks (WPANs)

Submission Title: 100 Gbps Optical Wireless Tutorial Date Submitted: January 2014 Source: Rick Roberts, Juthika Basak [Intel Labs]; Mial Warren [TriLumina]; (USC student name), Professor Alan Willner [University of Southern California] Address: Voice: 503-712-5012 (Rick Roberts) E-Mail: richard.d.roberts@intel.com

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Abstract: This contribution discusses several implementation options to achieve 100 Gbps, switched point-to-point wireless

Purpose: Information Tutorial

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Some Thoughts on 100 Gbps Switched Point-to-Point Optical Wireless Communications

<u>OUTLINE</u>

Part 1 - Introduction: 100 Gbps SW pt-pt system topologies (Rick Roberts - 20 mins)

Part 2 - VCSEL: technology and array processing (Mial Warren - 20 mins)

Part 3 - Historical: optical modem technology (Juthika Basak - 20 mins)

Part 4 - Media Access: 802.15.3 full duplex possibility (Rick Roberts - 20 mins)

Part 5 - Orbital Angular Momentum Multiplexing (Alan Willner - 20 mins)

Part 6 - Q&A (all - 20 mins)

Part 1 – Introduction 100 Gbps SW pt-pt system topologies (Rick Roberts, Intel Labs)

Use Case Refresher







IEEE802.15.SG 100G is only considering switched beam applications ... the manner of how the beams are switched is postulated to be out-of-scope of the standard.



For example, the data center use case may not require arbitrary connectivity between any given racks; rather, connectivity is confined to those racks that serve as wireless access points.



The implementation gap ...

- the cost black hole
- the closer you get, the higher the cost!



Atmospheric Attenuation



Interestingly enough, atmospheric attenuation is a minor concern below ~ 300 GHz and above ~ 10 THz. Given fog loss, IR seems no worse than THz atmospheric loss.

Consider two OWC transmission methods ...

1.Space Division Multiplexing

2. Wavelength Division Multiplexing

Not clear at this time which approach to use.

Full-Duplex Space Division Multiplexing



Problem: we get severe cross talk between lanes which results in non-identity H matrix.

Solution: spatially separate the sources and sinks based upon a beam pattern minimum separation criteria determined by the beam pattern.



The H matrix describes how the signals interfere with each other.

$$R = \begin{bmatrix} r_1 \\ r_2 \\ r_3 \\ r_4 \end{bmatrix} = \begin{bmatrix} h_{11} & h_{12} & h_{13} & h_{14} \\ h_{21} & h_{22} & h_{23} & h_{24} \\ h_{31} & h_{32} & h_{33} & h_{34} \\ h_{41} & h_{42} & h_{43} & h_{44} \end{bmatrix} \cdot \begin{bmatrix} t_1 \\ t_2 \\ t_3 \\ t_4 \end{bmatrix} = H \cdot T$$

Matrix entry h_{ij} means interference in the jth receiver from the ith transmitter.

Here the interference is not multipath generated; but rather, is due to the beam spreading of the transmitted signals. The values of the H matrix are determined by the transmitter beam pattern and the receiver field-of-view.

We recover the original transmission at the receiver using the inverse H matrix to determine the decoupling network coefficient "weight" values.

$$S = H^{-1} \cdot R = W \cdot R = \begin{bmatrix} w_{11} & w_{12} & w_{13} & w_{14} \\ w_{21} & w_{22} & w_{23} & w_{24} \\ w_{31} & w_{32} & w_{33} & w_{34} \\ w_{41} & w_{42} & w_{43} & w_{44} \end{bmatrix} \cdot \begin{bmatrix} r_1 \\ r_2 \\ r_3 \\ r_4 \end{bmatrix}$$

Theoretically the weights are calculated and applied as shown below.



It is believed the training should be time sequential ... turn on each transmit source and fill in the *H* matrix row by row. The *H* matrix is then inverted and the weights values extracted.

Implementation issue: realization of the multi-tap transversal filter with bi-phase linear weights

Eric Torkildson, Upamanyu Madhow, Mark Rodwell, Indoor Millimeter Wave MIMO: Feasibility and Performance, IEEE TRANS ON WIRELESS COMMUNICATIONS, VOL. 10, NO. 12, DEC 2011

Wavelength Division Multiplexing

WDM PHY TX Block Diagram



Wavelength Division Multiplexing

WDM PHY RX Block Diagram



WDM OWC Conceptual Deployment



Operation is beam switched, fixed point-to-point (no beam training), with a fiber optic tether between the free space optics and the optical processor.

Part 2 – VCSEL Technology and array processing (Mial Warren, TriLumina Corp.)



Introduction to Light Engine[®] VCSEL Array Technology

The Bottom-Emitting Vertical Cavity Surface-Emitting Laser (VCSEL)



Recent Review: "Advances in VCSELs for Communication and Sensing," Larsson, IEEE J. Selected Topics Quantum Electronics, <u>17</u>, 2011, pp 1552-1567.

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Comparing VCSELs and Edge-Emitting Diode Lasers





- High modulation bandwidth
- Long production history + High Volumes
- High brightness
- Narrow linewidth (DFB lasers)
- Moderately high power (~100 mW)

Con

- Die level testing only
- Hermetic packaging required (catastrophic optical damage)
- Asymmetric beam



- High modulation bandwidth
- Symmetric, moderate divergence beam
- Wafer scale testing
- Proven high volume manufacturing and reliability (~100M/yr)
- Two-dimensional arrays
- Low packaging cost and complexity

Con

Low Power

Scaling to Two Dimensional Arrays



2D arrays of VCSELs

Multiple P and N mesas contact to submount in parallel

Low parasitic capacitance

Integral heat sinking

Patent Reference: Joseph, J. US Patent 7,949,024, 2011

Flip-Chip Assembly on RF Waveguide

High Power Laser Array Integrated with High Speed Electrical Waveguide using Flip-Chip packaging technology





Individual VCSEL mesas with solder bumps – outer mesas are n-contact structures



Gold-plated submount coplanar waveguide with GSG contact



10Gbps Light Engine[®] 1mm² Si Submount

Scaling Power with Speed

Low capacitance design allows us to produce devices with high power and high bandwidths with very small form factors 105 element device below was 2mm x 2mm ~ 250 mW



Note: The frequency Response indicates there is little to no penalty for frequency compared to the 3mA single device frequency response

Safaisini, R., Joseph, J. and Lear, K. "Scalable, High-CW-Power High-Speed 980-nm VCSEL Arrays," IEEE J. Quantum Electron. vol. 46, no. 11, pp. 1590-1596, Nov. 2010.

Example Eye Patterns



5 Gbps 37 element array 25mW

10 Gbps 37 element array 25mW

How Far Can We Scale?



468 Element Array for High Power

Monolithic Integrated Microlenses

Allow Beam Combination, Shaping and Direction





Lens can control divergence of individual lasers. Offsetting lens can control direction of beam.



Converging/Diverging



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Incoherent Beam Combining

Offset micro-lenses allow creation of a real or virtual single optical source from the laser array for higher brightness and improved collimation. Allows for Z-height compression – small form factor.



- Multiple lasers in one device
- Self compensating design allows power redistribution to all active elements
- Graceful degradation" individual elements fail but the array survives





TriLumina Technology





Short Range Links without External Optics

- Low Cost, Size, Weight, and Power (CSWaP)
- High Bandwidth, High Efficiency
- Low Joule/Bit
- High Reliability, High Resiliency
- Flexible and Scalable Array Architecture
- No cabling or penetrating connectors





Source size determines Tx divergence: $\tan \theta_T = D_s/2f_T$

Diameter of Tx beam: $D_T = \theta_T/2 \cdot L + D_L$ Diameter of receiving optic: D_R

Diameter of detector: D_d Diameter of Rx focal spot: D_F

Optical coupling efficiency:

 η = Area Tx beam/Area Rx optic · Area detector/Area focus spot = $(D_R/D_T)^2 \cdot (D_d/D_F)^2$

Alignment tolerance for angular misalignment of Rx and lateral misalignment of Rx to the Tx beam $\sim D_F/D_d$ - overfill of detector

Modulation

- Optical receivers use photodiode "square law" detectors
- VCSELs are a coherent laser source
- The lasers in the arrays are mutually incoherent
 - We are using incoherent beam combining
 - TriLumina VCSEL arrays are not phase locked arrays
- ☆ ∴ Cannot use coherent detection schemes (QAM, etc.)
- Limited to amplitude modulation
- How do we extend the bandwidth of optical links using VCSEL arrays?

RF comparison: "Short-Range Wireless Infrared Transmission: The Link Budget Compared to RF," Wolf and Kreß, IEEE Wireless Comm April 2003, pp 8-14.

Bandwidth Advancement

- Baseband Data Rate
 - Single VCSEL bandwidth is continuing to improve approaching 50 GHz
- Spatial Multiplexing
 - Multiple channels in parallel separated by short distance
- Coarse Wavelength Division Multiplexing
 - Aggregate data rate of a multiple VCSEL arrays where each array subgroup is assigned a specific wavelength within the band of the technology
- PAM Pulse Amplitude Modulation
 - OOK with multiple amplitude levels and linear detection levels
 - Need ample link margins to maintain S/N ratio
Spatial Multiplexing



4 Wavelength (Coarse) CWDM – Single Focal Point

Manufacturing tolerances limit channel wavelength spacing to several nanometers (> 1THz)





Bandwidth Development Roadmap

Development Path



Eye Safety

Eye Safety Factors for VCSEL Arrays

- Application (enclosed beam or open? Standards allow higher laser class in some situations)
- Extended Source Properties
- Array of Point Sources
- Incoherent Beam
- Modal Properties
- Power output
- Duty cycle
- Collimated Beam Size
- Longer Wavelengths (progressing to >1200nm)

Solid State Scanning - Auto Alignment



Dynamic Link Management - Auto Alignment



Subarrays not contributing to the link can be powered down

Part 3 – Historical Optical modem technology (Juthika Basak, Intel Labs)

Part 3 Optical Interconnect Technology Silicon Photonics @ Intel

Contributor: Juthika Basak

Intel's Silicon Photonics Research Innovating with low-cost silicon to create new optical devices 1st Continuous Wave Silicon Raman Laser (Feb '05) 1) Light Source 2) Guide Light 3) Modulation Hybrid Silicon Laser (Sept. '06) Silicon Modulators Waveguides devices 1GHz (Feb '04) 10 Gb/s (Apr '05) 4) Photo-detection 5) Low Cost Assembly 6) Intelligence 40 Gb/s (July '07) Passive 8-channel integrated Align CMOS 40 Gb/s PIN 200Gb/s (May '08) Photodetectors (Aug. '07) SiGe Photodetectors Avalanche Photodetectors with 340 GHz Gain*BW (Dec '08) Demonstrates we can fabricate optical devices in Silicon up to 40Gbps INTELCONFIDENTIAL



Integrated Transmitter Chip



Integrated Receiver Chip



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Intel's Challenge for Corning

#1 in computing

#1 in optical communications



CORNING

"We like

one on!"

"We need:"

1. A new fiber that is:

- Multimode but with tight bends challenges and
- Optimized for Silicon Photonics we will take this
- Longer reach
- 2. A new connector that is:
 - Higher BW, more rugged AND smaller, less cost

Intel Corporation - Coming incorporate



But they take different paths, distorting the data Eventually the data becomes unreadable ClearCurve fiber minimizes distortion & allows 300m distances



Intel Corporation - dorning Incorporated

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Connector: Dealing with Dust

Dust: #1 cause of failure for optical cabling in datacenters



System testing of Fiber design : 25Gb/s with an Intel® Silicon Photonics Module



- The pattern generator encodes the data onto the laser signal from the transmitter
- The signal is transmitted through 300 m of <u>ClearCurve</u>® LX Multimode Fiber
- The optical receiver detects the signal and feeds it to a bit error rate (BER) detector

Record 25Gbps transmission over 300m

- Eye diagram shows little degradation compared to back-toback
- The total penalty was only ~1 dB after 300m
- Error-free transmission for >40 minutes



IDF13

Optical Wireless Demonstrations relevant to Data Center Applications

A Literature Survey

Overall physical architectures





Ref: J. M. Kahn et al, "Wireless Infrared Communications", PROCEEDINGS OF THE IEEE, VOL. 85, NO. 2, FEBRUARY 1997

4x12.5 Gb/s WDM system



- Active transmitter in Central Office
- Access point Tx consists only of lens and steering mirror attached to ceiling
- Rx: Compound Parabolic
 Concentrator, Tx beam at mobile
 Rx adjusts adaptively by changing
 fiber to lens distance
- Localization system is "request" + "search and scan"

Ref: Wang et al, "4 12.5 Gb/s WDM Optical Wireless Communication System for Indoor Applications", JLT, vol. 29, Jul 2011

4x12.5 Gb/s WDM System Experimental setup



Access Point (AP)

4x12.5 Gb/s WDM System Results



Free-space based reconfigurable card-to-card optical interconnects



- 1 × 4 VCSEL array (~17° divergent angle, 850 nm, 250 μm pitch, 1 mm overall dimension)
- 1 × 4 PD array (60 μm active diameter, 250 μm pitch, ~0.6 A/W responsivity at 850 nm, and 1 mm overall dimension)
- Micro-lens array with ~236 μm clear aperture and 250 μm pitch aligned to VCSEL and the PD arrays for collimation

Reference: Nirmalathas, et al, "High-speed free-space based reconfigurable card-to-card optical interconnects with broadcast capability", Optics Express, 15395, Jun 2013.

Reconfigurable Optical Interconnect Experimental Setup



Reference: Nirmalathas, et al, "High-speed free-space based reconfigurable card-to-card optical interconnects with broadcast capability", Optics Express, 15395, Jun 2013.

Reconfigurable Optical Interconnect 10 Gbps Performance



- VCSEL 1 is the transmitter in all cases
- Channel 4 is best since least susceptible to crosstalk induced by channels 1 and 2
- Channel 2 is worse than Channel 1 due to slightly longer distance
- Also showed multicast/broadcast: Broadcast does not suffer from crosstalk

Questions to Resolve

- 1. Single mode or Multimode
- 2. Wavelength of operation
- 3. Directed or Diffused System
- 4. Wavelength Division Multiplexing vs. Spatial Division Multiplexing
- All Interdependent factors
- In addition, the answer will depend on:
- Interconnect distance
- Air quality and Turbulence
- Line of sight violations

Part 4 - Media Access 802.15.3 full duplex possibility (Rick Roberts, Intel Labs)

Figure 1—802.15.3 piconet elements

January 2014

An 802.15.3 piconet consists of at least one DEV which assumes the role of coordinator (PNC) which provides basic timing and manages quality of service (QoS).



Superframe Structure and Error Control



Figure 2—802.15.3 piconet superframe

Since this is a point-to-point full-duplex link, and there is really no contention, the whole superframe can be allocated as a contention access period.

It is not clear that beaconing is necessary after link establishment, so the beacon can probably be turned off.

For the switched point-to-point, full-duplex application the network topology is greatly simplified and is known before the link establishment.



There are two PNCs established, both unidirectional.

Each device has a spatially separated TX and RX.



Conceptually TX on one "antenna" and RX on another.

To start the full-duplex link, each PNC is switched to the appropriate beam and each PNC starts beaconing.

Between TX beacons, the PNC listens on the RX PMD.

When the other PNC's beacons are heard on the RX PMD then the originating PNC knows the other DEV is present, reconfigures the superframe (turns off the beacon) and starts sending a RTS.

The receipt of a RTS is followed by a CTS and packet transmissions commences using a block ACK protocol.

Outline of Full-duplex link establishment MSC



Asynchronous full-duplex superframes

TX1	n th block ACK ₁	(n-1) th block retransmission #1	(n+1) th data block #1

Issues to be addressed

- flow control if the selected modes don't have equal data rate
- should synchronized beaconing be used?

MAC system issues (lots of issues)

1. Are beacons really needed; and if so, should they be synchronized?

- 2. Need to define PPDU/MPDU header/trailer fields.
 - Preamble
 - PHY header fields
 - MAC header fields
- 3. Need specifics on needed modifications to IEEE802.15.3.
 - Frame definitions
 - Superframe modifications
 - Other issues!

Believe MAC modifications are readily doable given the anticipated exclusive channel usage.

Part 5 – QAM Multiplexing Orbital Angular Momentum Multiplexing (Alan Willner, University of California – San Diego)
Conceptual deployment of OWC using OAM multiplexing



□ The same wavelength can be used by multiple users.

□ Each channel is converted to an OAM beam with a different vortex charge.

□ Multiple channels are spatially multiplexed and sent out using a single transmitter.

Numerous Joint Authors

Data transmission system using multiple OAM beams



Submission

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Orbital Angular Momentum (OAM): Concept



Submission

OAM Generation and Detection



□ The holographic phase filter could be spatial light modulator (SLM), q-plate or fixed hologram, etc.

□ The OAM generation and detection could be also achieved by using integrated photonics devices. *Alison M. Yao, et al. Adv. in Opt. & Phot., 2011*

OAM Multiplexing and Demultiplexing



OAM Mode Sorter for OAM Multiplexing and Demultiplexing



□ The geometric transformation based OAM mode sorter could be used in both OAM multiplexing and demultiplxing.

G. Berkhout et al., Phys. Rev. Lett. 105, 153601 (2010) M. Lavery et al., Opt. Express 20, 2110-2115 (2012)

OAM Data Transmission – 2.56 Tbit/s Link Using 32 OAM Beams



Spatial light modulators (SLM) convert input Gaussian mode to OAM modes.
 Inverted phase pattern is used to demultiplex OAM modes.
 OAM₊₈ and OAM₋₈ have the same size of intensity profiles.

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Slide 80

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OAM Data Transmission – 2.56 Tbit/s Link Using 32 OAM Beams



Considering 20Gbaud 16-QAM signal on 32 OAM channels with 7% FEC overhead, an aggregate SE of 95.7 bit/s/Hz (80*32/25/1.07) is achieved. (SE= 102.4 bit/s/Hz without considering 7% FEC overhead)

> Total capacity of 2.56Tbit/s on a single wavelength.

100 Tbit/s Link Using OAM and WDM Multiplexing



☐ The wavelength dependence for the crosstalk is very small over the wavelength range from 1530-1570 nm. *H. Huang et al, Optics Letters, 2013*

100 Tbit/s Link Using OAM and WDM Multiplexing

42 wavelengths, 12 OAM beams, 2 polarizations (42 × 12 × 2=1008 channels)



- > Total capacity: 1008 × 100Gbit/s=100.8 Tbit/s.
- > All of the channels can achieve a BER of $< 3.8 \times 10-3$ (the limit for 7% overhead FEC)

Atmospheric Turbulence Effects and Potential Solutions



■ Wavefront distortion may destroy the OAM beam and affect the orthogonality between OAM modes

✓ The orthogonality of OAM modes are built on the integrity of their "helical" phase-fronts

J. Anguita et al, Appl. Optics 47, 2412 (2008). G. Tyler et al, OL 34, 142 (2009)

Atmospheric Turbulence Effects



OAM beam will experience turbulence-induced distortion, which will result in channel crosstalk and system power penalty.
 The atmospheric turbulence is emulated in the lab environment by using rotating phase plates, obeying Kolmogorov spectrum statistics.

Potential Solutions – Adaptive Optics Compensation

Conventional adaptive optics approach could not work for OAM beams.



Use Gaussian beam as a pilot beam to detect wavefront distortion of Gaussian beam by using conversional WFS.
Y. Ren et al. ECOC2013

Potential Solutions – Adaptive Optics Compensation



□ By using the correction pattern obtained from Gaussian pilot beam in AO system, the distorted OAM beams up to OAM *I*=9 are efficiently compensated.

Potential Solutions – Adaptive Optics Compensation



Potential Solutions – 4×4 MIMO Equalization



□ Turbulence causes crosstalk among channels on each OAM beam.

□ MIMO equalization could be used to undo the crosstalk between OAM modes.

Potential Solutions – 4×4 MIMO Equalization



Potential Solutions – Soft-decision LDPC Coding

LDPC coding can help approach the theoretical quantum-limited capacity, has powerful error correction capability



Potential Solutions – Soft-decision LDPC Coding

BER performance of LDPC coded 4 OAM Modes Multiplexed Links



□ The BER performance for demuxed OAM_{+12}/OAM_{+14} with and without crosstalk. □ The coding gain of LDPC(8547, 6922) is about 5.8 dB, 7.0 dB/5.7 dB, 7.3 dB at BER= 10⁻⁵ for OAM_{+12} / OAM_{+14} without and with crosstalk.

Y. Ren et al, ECOC2012

Discussion: Other Potential Challenges

□ In addition to atmospheric turbulence, there are many other challenges for OAM multiplexing in OWC links:

>OAM Beam Spreading: The divergence of an OAM beam is directly related to $\sqrt{l+1}$. Higher-order OAM modes spread more significantly than lower-order OAM modes during propagation.

Transmitter/Receiver Aperture Design: Imposed by diffraction, the number of modes that could be accommodated could be determined by the link distance and transmitter/receiver aperture size.

Part 6 - Q&A (all)

The End Thanks!