IEEE P802.15

# **Wireless Personal Area Networks**

| Project           | IEEE P802.15 Working Group for Wireless Personal Area Networks (WPANs)  |                           |  |
|-------------------|---|---------------------------|--|
| Title             | Preliminary document for TG4p   |                           |  |
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| Re:               |   |                           |  |
| Abstract          | This document combines the work done thus far by TG4p.  |                           |  |
| Purpose           | This document is the first step in preparing a draft for letter ballot.   |                           |  |
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# IEEE Standard for Local and metropolitan area networks—

# Part 15.4: Low-Rate Wireless Personal Area Networks (WPANs)

# Amendment X: Positive Train Control (PTC) System Physical Layer

NOTE—The editing instructions contained in this amendment define how to merge the material contained therein into the existing base standard and its amendments to form the comprehensive standard.

The editing instructions are shown in **bold italic**. Four editing instructions are used: change, delete, insert, and replace. **Change** is used to make corrections in existing text or tables. The editing instruction specifies the location of the change and describes what is being changed by using strikethrough (to remove old material) and <u>underscore</u> (to add new material). **Delete** removes existing material. **Insert** adds new material without disturbing the existing material. Deletions and insertions may require renumbering. If so, renumbering instructions are given in the editing instruction. **Replace** is used to make changes in figures or equations by removing the existing figure or equation and replacing it with a new one. Editing instructions, change markings, and this NOTE will not be carried over into future editions because the changes will be incorporated into the base standard.

# 3. Definitions, acronyms, and abbreviations

#### 3.1 Definitions

Change the following definition as indicated:

**BT:** <u>sS</u>haping parameter for filtered FSK <u>or GMSK</u> modulation, where *B* is the 3 dB bandwidth of the shaping filter, and *T* is the FSK <u>or GMSK</u> symbol period.

Insert the following definition alphabetically into 3.1:

**positive train control (PTC):** A system of functional requirements for monitoring and controlling train movements to provide increased safety defined by federal law in 49 CFR 236.1005.(a).

# 3.2 Acronyms and abbreviations

Insert the following acronyms alphabetically into 3.2:

C4FM continuous four-level frequency modulation
GMSK Gaussian-filtered minimum shift keying
Pi/4 DQPSK Pi/4 differential quadrature phase shift keying

PTC positive train control

QPSK quadrature phase shift keying RCC rail communications and control

# 4. General description

Insert the following new subclause (4.1b) after 4.1a:

# 4.1b Introduction to positive train control (PTC)

PTC refers to a system that meets the functional performance requirements for monitoring and controlling train movements to provide increased safety as defined by federal law in 49 CFR 236.1005.(a).

PTC systems are integrated command, control, communications, and information systems for controlling train movements with safety, security, precision, and efficiency. PTC systems will improve railroad safety by significantly reducing the probability of collisions between trains, casualties to roadway workers and damage to their equipment, and over speed accidents. The National Transportation Safety Board has named PTC as one of its "most-wanted" initiatives for national transportation safety.

PTC systems are comprised of digital data link communications networks, continuous and accurate positioning systems such as National Differential GPS, on-board computers with digitized maps on locomotives and maintenance-of-way equipment, in-cab displays, throttle-brake interfaces on locomotives, wayside interface units at switches and wayside detectors, and control center computers and displays. PTC systems may also interface with tactical and strategic traffic planners, work order reporting systems, and locomotive health reporting systems. PTC systems issue movement authorities to train and maintenance-of-way crews, track the location of the trains and maintenance-of-way vehicles, have the ability to automatically enforce movement authorities, and continually update operating data systems with information on the location of trains, locomotives, cars, and crews. The remote intervention capability of PTC will permit the control center to stop a train should the locomotive crew be incapacitated. In addition to providing a greater level of safety and security, PTC systems also enable a railroad to run scheduled operations and provide improved running time, greater running time reliability, higher asset utilization, and greater track capacity. They will assist railroads in measuring and managing costs and in improving energy efficiency.

The United States Congress enacted a law called the Rail Safety Improvement Act of 2008, in order to improve rail safety. The law mandates the use of PTC for most rail and rail transit entities. PTC has four primary components:

- Equipment deployed on the locomotive/train
- Equipment deployed trackside
- Network access points deployed at or near trackside that are connected to systems operating at a remotely located control center
- A bi-directional wireless data link that connects all these elements

In the United States, there has been a plurality of wireless communication methods used since the 1970s for rail and rail transit data communications. However, while the need for mobile wireless data communications has continued to expand, there had been little effort, until now, to establish a broadly applicable open standard. This standard provides a simple, low-data rate, wireless data packet protocol suitable for machine-to-machine applications, such as PTC.

A number of radio frequency bands currently used or planned for rail and rail transit communications are included in this standard. Also included are modulation modes and error-correction techniques that enhance functionality for low-data rate vehicular communications.

# 5. MAC protocol

## 5.1 MAC functional description

#### 5.1.1 Channel access

#### 5.1.1.6 LLDN Superframe structure

Insert the following new subclause (5.1.1.6.7) following 5.1.1.6.6:

# 5.1.1.6.7 LLDN usage by an RCC device

An RCC device should support LLDN mode with an additional shared group time slot allocated for broadcasting messages from one device to all devices within range, using the slotted contention-based access method specified in 5.1.1.4.4.

If any device loses timing synchronization with the coordinator, that device will implement the CSMA mode described in 5.1.1.4, in order to allow the broadcast messages to be received.

An RCC device running in LLDN mode should always use enhanced beacon frames, in order to allow different modulation schemes to be assigned to different slot owners.

The modulation scheme of the enhanced beacon frame and the management time slots should be set by a higher layer.

A new information element (IE) should be added to advertise all modulation schemes supported by the coordinator. <Editor's note: this new IE will be described in Clause 5.2>

Devices may optionally request to use any modulation scheme advertised by the coordinator in the enhanced beacon frame.

#### 5.1.8 Ranging

Insert the following paragraph ...<editor's note: exact placement of this text is TBD>

An RCC device should support ranging request and ranging response IEs (specified in IEEE802.15.4m).

Insert the following paragraph ... <editor's note: exact placement of this text is TBD>

The PIB attribute *macEnhAckWaitDuration* should be set based on the ranging results using the formula TBD.

### 5.1.11 LE transmission, reception and acknowledgment

#### 5.1.11.1 Coordinated sampled listening (CSL)

### 5.1.11.1.4 Unicast transmission

Change step f) in paragraph three as indicated:

f) Wait for up to at least macEnhAckWaitDuration (defined in Table 52j) symbol time—for the enhanced acknowledgment frame if the Acknowledge Request field in the payload frame is set to one.

**TBD** 

# **5.2 MAC frame formats**

2 3

| 6. MAC services                      |
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| 6.1 Overview                         |
| TBD                                  |
| 6.2 MAC management service           |
| TBD                                  |
| 6.3 MAC data service                 |
| TBD                                  |
| 6.4 MAC constants and PIB attributes |
| TBD                                  |
|                                      |
|                                      |

# 8. General PHY requirements

# 8.1 General requirements and definitions

Insert the following item at the end of the second list in 8.1:

— RCC PHY: a PHY operating at multiple over-the-air data rates in support of rail communications and control (RCC) applications, as defined in Clause 21, that supports the following five modulation schemes: Gaussian minimum shift keying (GMSK), continuous four-level frequency modulation (C4FM), quadrature phase-shift keying (QPSK), pi/4 differential quadrature phase-shift keying (Pi/4 DQPSK), and direct sequence spread spectrum (DSSS) employing DQPSK.

# 8.1.1 Operating frequency range

Change the first paragraph of 8.1.1 as indicated, and insert the new table (Table 66c):

<editor's note: the following paragraph is in the process of being modified by 15.4k. The text shown below is a modification to the 4k text.>

A compliant device shall operate in one or several frequency bands summarized in Table 66, Table 66a, and Table 66b. Table 66a shows frequency bands for devices supporting the LECIM DSSS PHY, and Table 66b shows frequency bands for devices supporting the LECIM FSK PHY. Table 66c shows frequency bands for devices supporting the RCC PHY.

Table 66c—Frequency bands and data rates for RCC PHY

| Band identifier Frequency range (MHz) |                 | Modulation and bit rate   |
|---------------------------------------|-----------------|---------------------------|
| 161                                   | 160.170–161.580 |                           |
| 216                                   | 216–217         |                           |
| 217                                   | 217–220         | GMSK: 9.6/19.2 kbps       |
| 220                                   | 220–222         | _                         |
| 450                                   | 450–470         | C4FM: 9.6/19.2/38.4 kbps  |
| 700                                   | TBD             | QPSK: 16/32 kbps          |
| 800                                   | TBD             | Pi/4 DQPSK: 16/32 kbps    |
| 896                                   | 896–901         | _                         |
| 901                                   | 901–902         | _                         |
|                                       |                 | GMSK: 9.6/19.2 kbps       |
|                                       |                 | C4FM: 9.6/19.2/38.4 kbps  |
| 915                                   | 902–928         | QPSK: 16/32 kbps          |
|                                       |                 | Pi/4 DQPSK: 16/32 kbps    |
|                                       |                 | DSSS DQPSK: data rate TBD |

Table 66c—Frequency bands and data rates for RCC PHY

| Band identifier | Frequency range<br>(MHz) | Modulation and bit rate   |  |  |
|-----------------|--------------------------|---------------------------|--|--|
|                 |                          | GMSK: 9.6/19.2 kbps       |  |  |
| 020             | 220, 240                 | C4FM: 9.6/19.2/38.4 kbps  |  |  |
| 928             | 928–960                  | QPSK: 16/32 kbps          |  |  |
|                 |                          | Pi/4 DQPSK: 16/32 kbps    |  |  |
| 4965            | 4940–4990                |                           |  |  |
| 5300            | 5250–5350                | Dege Doney Livery TDD     |  |  |
| 5600*           | 5470–5725                | DSSS DQPSK: data rate TBD |  |  |
| 5800*           | 5725–5850                |                           |  |  |

<sup>\*</sup>In the USA, devices operating in these bands under Title 47 CFR 15 Subpart E Unlicensed National Information Infrastructure must employ both Transmit Power Control and Dynamic Frequency Selection as per section 15.407.

### 8.1.2 Channel assignments

# 8.1.2.2 Channel numbering for 868 MHz, 915 MHz, and 2450 MHz bands

#### Change the first paragraph of 8.1.2.2 as indicated:

<editor's note: the following paragraph is in the process of being modified by 15.4k. The text shown below is a modification to the 4k text.>

This subclause does not apply to the SUN PHY, or LECIM PHY, or RCC PHY specifications. For explanations of channel numbering for the SUN PHYs and LECIM PHYs, see 8.1.2.9 and 8.1.2.14, respectively. For an explanation of channel numbering for the RCC PHY, see 8.1.2.14.

Insert the following new subclause (8.1.2.14) after 8.1.2.13:

# 8.1.2.14 Channel numbering for RCC PHY

A channel page (phyCurrentPage; 9.3) value of X indicates the RCC PHY.

The channel center frequency, *ChanCenterFreq*, for the RCC PHY shall be derived as follows:

 $ChanCenterFreq = ChanCenterFreq_0 + NumChan \times ChanSpacing$ 

#### where

*ChanCenterFreq*<sub>0</sub> is the first channel center frequency in MHz

ChanSpacing is the separation between adjacent channels in MHz

*NumChan* is the channel number from 0 to *TotalNumChan*–1

TotalNumChan is the total number of channels for the available frequency band

The parameters ChanSpacing, TotalNumChan, and  $ChanCenterFreq_0$  for each frequency band is specified in Table 681. The information in the table applies to all RCC modulation schemes.

Table 68I—Total number of channels and first channel center frequencies for RCC PHY

| Band identifier | ChanSpacing<br>(MHz) | TotalNumChan | ChanCenterFreq <sub>0</sub><br>(MHz) |
|-----------------|----------------------|--------------|--------------------------------------|
| 161             | 0.0075               | 186          | 160.1775                             |
| 217             | 0.0125               | 239          | 217.0125                             |
| 220             | 0.0125               | 159          | 220.0125                             |
| 450             | 0.0125               | 1599         | 450.0125                             |
| 700             | TBD                  | TBD          | TBD                                  |
| 800             | TBD                  | TBD          | TBD                                  |
| 896             | 0.0125               | 399          | 896.0125                             |
| 901             | 0.0125               | 2079         | 902.0125                             |
| 915             | 0.500                | 51           | 902.500                              |
| 928             | 0.00625              | 2559         | 928.0125                             |
| 4965            | TBD                  | TBD          | TBD                                  |
| 5300            | TBD                  | TBD          | TBD                                  |
| 5600            | TBD                  | TBD          | TBD                                  |
| 5800            | TBD                  | TBD          | TBD                                  |

#### 8.1.3 Minimum LIFS and SIFS periods

#### Change the first paragraph of 8.1.3 as indicated:

For all PHYs other than the UWB and RCC\_PHYs, the minimum LIFS period and SIFS period are: 1

- *macLIFSPeriod* 40 symbols
- *macSIFSPeriod* 12 symbols

# Insert the following new paragraph after the first paragraph of 8.1.3:

For the RCC PHY, the minimum LIFS period and SIFS period are:

- macLIFSPeriod TBD symbols
- macSIFSPeriod TBD symbols

# 8.2 General radio specifications

#### 8.2.7 Clear channel assessment (CCA)

### Change the third paragraph of 8.2.7 as indicated:

The PHY PIB attribute *phyCCAMode*, as described in 9.3, shall indicate the appropriate operation mode. The CCA parameters are subject to the following criteria:

<sup>&</sup>lt;sup>1</sup>For the MR-OFDM PHY, the MAC symbol duration is defined in 5.1.

- a) Except for the MR-O-QPSK PHY, the ED threshold shall correspond to a received signal power of at most 10 dB greater than the specified receiver sensitivity for that PHY. For the MR-O-QPSK PHY, the ED threshold shall comply with the specification in 16.3.4.13.
- b) Except for the 920 MHz band PHYs, and the 950 MHz band PHYs, and the RCC PHY, the CCA detection time shall be equal to aCCATime, as defined in Table 70. For the 920 MHz band, and the 950 MHz band PHYs, and the RCC PHY, phyCCADuration symbol periods shall be used.

# 9. PHY services

### 9.2 PHY constants

<editor's note: the constant aMaxPHYPacketSize is in the process of being modified by 15.4k. The text shown is a modification to the 4k text.>

Change Table 70 (the entire table is not shown) as indicated:

### Table 70—PHY constants

| Constant          | Description   | Value  |
|-------------------|---|--|
| aMaxPHYPacketSize | The maximum PSDU size (in octets) the PHY shall be able to receive. | 2047 for SUN, and LECIM FSK, and RCC PHYs. For LECIM DSSS PHY, this is not a constant; refer to <i>phyLECIMDSSSPSDU-Size</i> .  127 for all other PHYs |

# 9.3 PHY PIB attributes

Change Table 71 (the entire table is not shown) as indicated:

Table 71—PHY PIB attributes

| Attribute      | Туре    | Range  | Description  |
|----------------|---------|--------|--|
| phyCCADuration | Integer | 0-1000 | The duration for CCA, specified in symbols. This attribute shall only be implemented with PHYs operating in the 920 MHz band and the 950 MHz band, and with the RCC PHY. |

Insert after Clause 20 the following new clause (Clause 21):

# 21. RCC PHY

A PHY with four possible modulation schemes is specified in order to support RCC applications. The four supported modulations schemes are GMSK, as described in 21.5.1; C4FM, as described in 21.5.2; QPSK, as described in 21.5.3; and Pi/4 DQPSK, as described in 21.5.4.

#### 21.1 PPDU format

The RCC PHY PPDU shall be formatted as illustrated in Figure 154.

The synchronization header (SHR), PHY header (PHR), and PHY payload components are treated as bit strings of length n, numbered  $b_0$  on the left and  $b_{n-1}$  on the right. When transmitted, they are processed  $b_0$  first to  $b_{n-1}$  last, without regard to their content or structure.

| Bits: 32 | 23/29                  |                          | variable     |                               | 0/3                |                                   |                   |  |
|----------|------------------------|--------------------------|--------------|-------------------------------|--------------------|-----------------------------------|-------------------|--|
|          | Data FEC Type (4 bits) | Data Length<br>(11 bits) | CRC (8 bits) | PHR<br>FEC Tail<br>(0/6 bits) | PSDU<br>(variable) | Payload<br>FEC Tail<br>(0/6 bits) | PAD<br>(variable) |  |
| SHR      | PHR                    |                          |              | PHY<br>payload                |                    | GMSK<br>tail                      |                   |  |

Figure 154—Format of the RCC PPDU

#### 21.1.1 SHR

The SHR shall be selected from the list of values shown in Table 72. The SHR is transmitted starting from the left-most bit.

Table 72—SHR values for RCC PHY

| Modulation         | SHR value for<br>FEC coded PHR | SHR value for<br>FEC uncoded PHR |
|--------------------|--------------------------------|----------------------------------|
| GMSK 9.6 Kbps      | TBD                            | TBD                              |
| GMSK 19.2 Kbps     | TBD                            | TBD                              |
| C4FM 9.6 Kbps      | TBD                            | TBD                              |
| C4FM 19.2 Kbps     | TBD                            | TBD                              |
| C4FM 38.4 Kbps     | TBD                            | TBD                              |
| QPSK 16 Kbps       | TBD                            | TBD                              |
| QPSK 32 Kbps       | TBD                            | TBD                              |
| Pi/4 DQPSK 16 Kbps | TBD                            | TBD                              |
| Pi/4 DQPSK 32 Kbps | TBD                            | TBD                              |

#### 21.1.2 PHR header

FEC protection of the PHR shall be supported. A non-FEC protected PHY header may also be supported. When used, FEC shall be a ½ code rate convolutional code, as described in 21.2.

The Data FEC Type field indicates the coding rate used in the PSDU field, and it shall be assigned according to Table 73. The left most bit shall be transmitted first.

| Table 73—Data FEC 1 | Type field for RCC PHY |
|---------------------|------------------------|
|---------------------|------------------------|

| Data FEC Type field value | Coding rate |
|---------------------------|-------------|
| 0000                      | 1 (no FEC)  |
| 0001                      | 7/8         |
| 0010                      | 3/4         |
| 0011                      | 2/3         |
| 0100                      | 1/2         |
| 0101–1111                 | Reserved    |

The Data Length field specifies the total number of octets contained in the PSDU. The MSB shall be transmitted first.

The Data FEC Type and Data Length fields shall be protected with an 8-bit CRC. The CRC shall be the remainder generated by the modulo 2 division of the protected fields by the polynomial:

$$x^8 + x^2 + x + 1$$

The protected bits shall be processed in transmit order. All CRC calculations shall be made prior to data whitening. A schematic of the processing is shown in Figure 155.

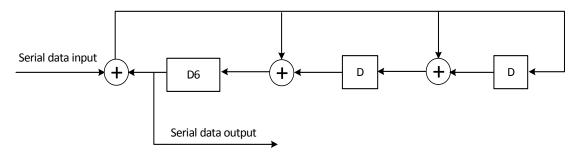


Figure 155—CRC-8 implementation for RCC PHY

When FEC is applied to the PHR, the PHR FEC Tail field shall have a length of 6 bits (i.e., six FEC Tail bits are appended after the CRC field to aid in FEC decoding). When the PHY header is not FEC protected, the PHR FEC Tail field shall have length zero (i.e., no tail bits are appended).

# 21.1.3 PHY payload

The Data FEC Type field determines whether the PSDU is FEC protected. The Payload FEC Tail field shall be present only if the PSDU is FEC protected.

The length of the PAD field depends on the selected coding rate. The total number of bits contained in the PSDU, Payload FEC Tail, and PAD fields shall be an integer multiple of the puncturing pattern according to Figure 157.

#### 21.1.4 GMSK tail

Three extra zero bits are appended at the end of the packet for Gaussian filter response time if GMSK modulation is used. No GMSK tail bits shall be appended for other modulation modes.

# 21.2 Forward error correction (FEC)

The PHR and PSDU shall be coded using one of the values contained in Table 73, corresponding to the desired data rate. The convolutional encoder shall use generator polynomials,  $g_0 = 133_8$  and  $g_1 = 171_8$  for rate  $\frac{1}{2}$ , as shown in Figure 156. Higher rates are achieved by puncturing, according to Figure 157.

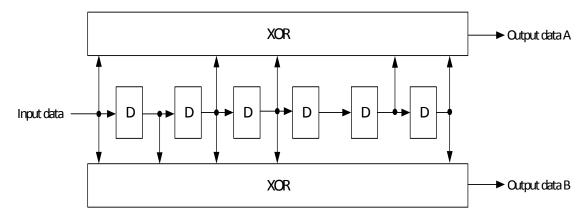


Figure 156—Convolutional encoder for RCC PHY

#### 21.3 Interleaver

Interleaving shall be supported for the PHY payload when FEC is enabled. A non-interleaved PHY payload may also be supported with FEC enabled. Interleaving shall be turned off when FEC is disabled.

The process of interleaving is illustrated in Figure 158.

#### 21.4 Data whitening

Data whitening shall be applied to the PHR and PHY payload. See 16.1.3 for more details.

The PN9 sequence generator shall not be reset between the PHR and the PSDU.

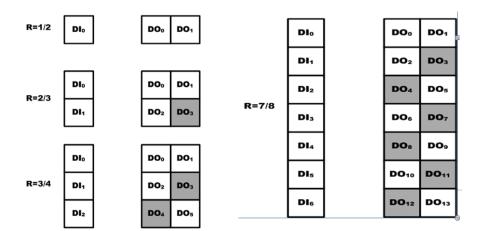


Figure 157—FEC puncturing pattern for RCC PHY

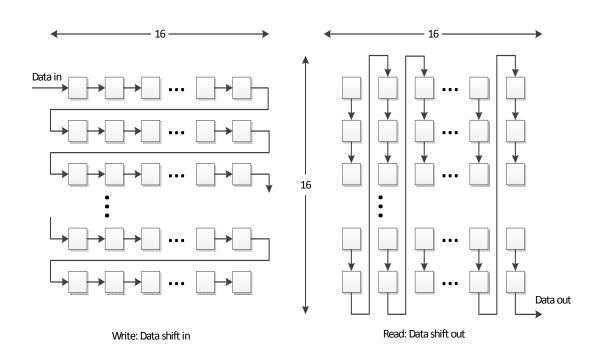


Figure 158—Interleaver for RCC PHY

### 21.5 Modulation

The modulation scheme and data rate shall be configured by TBD <editor's note: a new Information Element will be described in Clause 5.2>.

#### 21.5.1 GMSK

GMSK is MSK modulation with Gaussian filtering. MSK is a special case of continuous phase FSK, and its modulation index is exactly 0.5.

$$s(t) = A\cos(\omega_0 t + \phi(t))$$

where

A is the signal amplitude  $\omega_0$  is the carrier angular frequency  $\phi(t)$  is the signal phase

The signal phase  $\phi(t)$  may be represented by the data bit stream  $d_0, d_1, d_2 \dots$  as:

$$\phi(t) = \phi_0 + \frac{\pi}{2} \int \left[ G(t) * \sum_i k_i \operatorname{rect}(t - iT) \right] dt$$

where

$$G(t) = \frac{1}{\sigma T \sqrt{2\pi}} \exp\left(\frac{-t^2}{2\sigma^2 T^2}\right) \text{ with } \sigma = \frac{\sqrt{\ln(2)}}{2\pi BT}$$

$$k_i = \begin{cases} 1 & \text{if } d_i = 1 \\ -1 & \text{if } d_i = 0 \end{cases}$$

$$rect(t) = \begin{cases} (1/T) & \text{for } 0 < t < T \\ 0 & \text{otherwise} \end{cases}$$

*T* is the symbol period  $\phi_0$  is the initial phase

The initial phase  $\phi_0$  may take any value. It is not specified and is, therefore, unknown to the receiver.

Figure 159 shows a typical GMSK modulation in digital implementation. This functional block diagram serves as a reference for specifying the RCC PHY with GMSK modulation.

Data "1" shall have positive frequency deviation. Data "0" shall have negative frequency deviation.

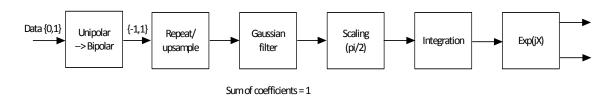


Figure 159—Typical GMSK modulator for RCC PHY

The default BT value shall be 0.3, since it provides good adjacent channel power ratio in order to meet spectrum masks in narrow band applications such as US FCC Part 90. Lower BT values provide narrower spectrum width, but the eye opening is narrower and may degrade receiver decoding. A larger BT may

optionally be used where regulations permit. Typical power spectrum density of MSK and GMSK is shown in Figure 160. The eye diagrams are shown in Figure 161, Figure 162, and Figure 163.

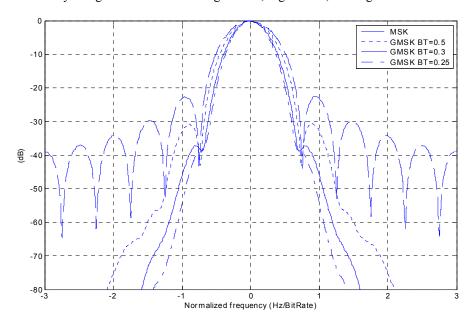


Figure 160—Typical GMSK power spectrum density

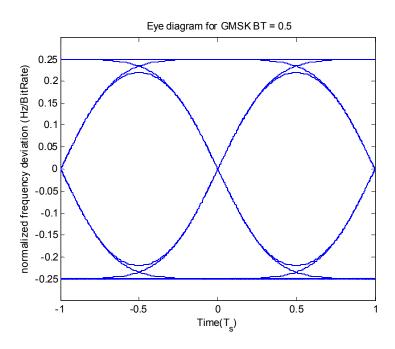


Figure 161—Eye diagram for GMSK with BT=0.5

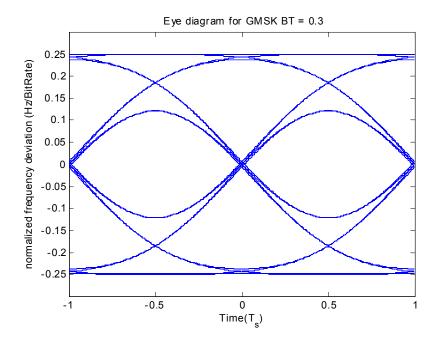


Figure 162—Eye diagram for GMSK with BT=0.3

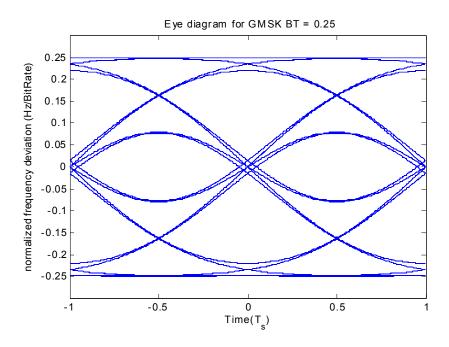


Figure 163—Eye diagram for GMSK with BT=0.25

# 21.5.2 C4FM

C4FM is a four-level frequency modulation with continuous phase. Figure 164 shows a typical C4FM modulator in digital implementation. This functional block diagram serves as a reference for specifying the RCC PHY with C4FM modulation.

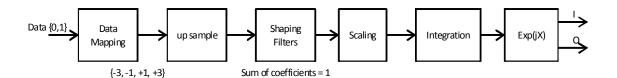


Figure 164—Typical C4FM modulator for RCC PHY

The shaping filters consists of a Nyquist raised cosine filter cascaded with an inverse-sinc filter. The frequency response of the Nyquist raised cosine filter H(f) is given by:

$$|H(f)| = 1$$
, for  $|f| < \text{symbol rate} \times 0.4$ 

$$|H(f)| = 0.5 + 0.5 \cos\left[\frac{2 \times \text{pi} \times f}{\text{symbol rate} \times 0.4}\right], \text{ for (symbol rate} \times 0.4) < |f| < (\text{symbol rate} \times 0.6)$$

$$|H(f)| = 0$$
, for  $(|f| > \text{symbol rate} \times 0.6)$ 

The group delay of the Nyquist raised cosine filter is flat over the pass band for  $|f| < \text{symbol rate} \times 0.6$ .

The amplitude response of the inverse-sinc filter P(f) is given by:

$$|P(f)| = \left[ \frac{\frac{\text{pi} \times f}{\text{symbol rate}}}{\frac{\sin(\text{pi} \times f)}{\text{symbol rate}}} \right], \quad \text{for } |f| < (\text{symbol rate} \times 0.6)$$

The response of P(f) for |f| > symbol rate  $\times$  0.6 is not specified for frequencies above symbol rate  $\times$  0.6, since these frequencies are cut off by H(f).

The data mapping and frequency deviation is indicated in Table 74. The value of the scaling block shown in Figure 164 should be chosen properly to match the corresponding frequency deviation.

Table 74—C4FM frequency deviation for RCC PHY

| Data {b <sub>1</sub> , b <sub>0</sub> } | Frequency deviation (normalize to symbol rate) |
|---|--|
| 01                                      | +3/8   |
| 00                                      | +1/8   |
| 10                                      | -1/8   |
| 11                                      | -3/8   |

#### 21.5.3 QPSK

Figure 165 shows a typical QPSK modulator in digital implementation. This functional block diagram serves as a reference for specifying the RCC PHY with QPSK modulation. The bit-to-symbol mapping shall be encoded according to Figure 166. The default pulse shaping filter shall be a root cosine filter with a roll-off factor of 0.25.

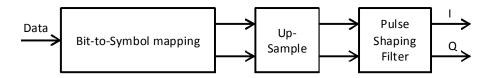


Figure 165—Typical QPSK modulator for RCC PHY

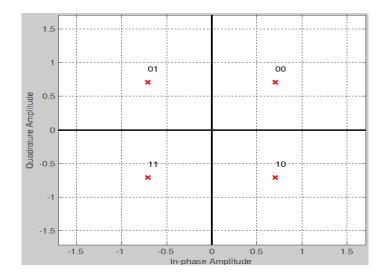


Figure 166—Bit-to-symbol mapping for QPSK (RCC PHY)

# 21.5.4 Pi/4 DQPSK

Figure 167 shows a typical Pi/4 DQPSK modulator in digital implementation. This functional block diagram is provided as a reference for specifying the RCC PHY using Pi/4 DQPSK modulation. The bit-to-symbol mapping and differential encoding shall be encoded according to Table 75. The default pulse shaping filter shall be a root raised cosine filter with a roll-off factor of 0.25.

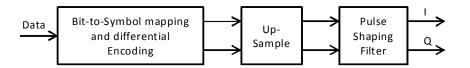


Figure 167—Typical Pi/4 DQPSK modulator for RCC PHY

Table 75—Pi/4 DQPSK encoding values for RCC PHY

| Data {b <sub>1</sub> , b <sub>0</sub> } | Phase change |
|---|--------------|
| 01                                      | +3/4 × pi    |
| 00                                      | +1/4 × pi    |
| 10                                      | −1/4 × pi    |
| 11                                      | −3/4 × pi    |

A typical power spectrum density is shown in Figure 168. The spectrum skirt is caused by time domain truncation of the shaping pulse and is design dependent.

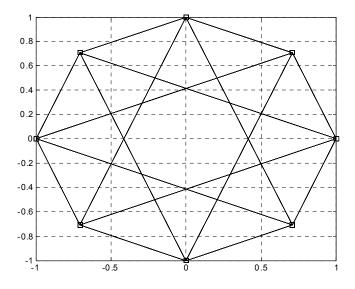


Figure 168—Signal constellation of Pi/4 DQPSK for RCC PHY

# 21.6 Reference modulator diagram

The functional block diagram in Figure 169 serves as a reference for specifying the RCC PHY data flow processing functions. Data whitening shall be applied over the PHR and PHY payload continuously. The six FEC tail bits shall be replaced by six non-scrambled zeros prior to FEC encoding. When FEC is enabled, FEC processing for the PHR and PHY payload shall be performed separately.

All fields in the PPDU shall use the same symbol rate and modulation.

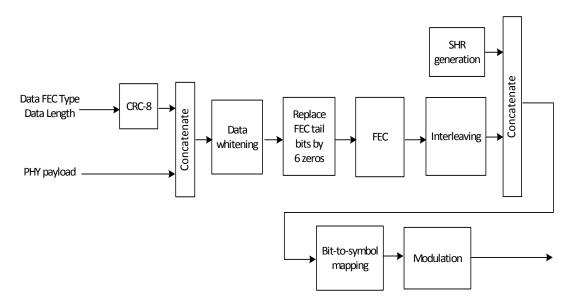


Figure 169—RCC PHY reference modulator diagram

# 21.7 RCC PHY RF requirements

### 21.7.1 Radio frequency tolerance

The center frequency error shall confirm with local regulations or be less than or equal to  $\pm 1$  ppm, whichever is tighter.

#### 21.7.2 Transmitter symbol rate tolerance

The transmitter symbol rate error shall be less than or equal to  $\pm 1\,$  ppm.

#### 21.7.3 Channel switching time

The channel switching time shall be less than or equal to  $500 \mu s$ .

# 21.7.4 Transmit power spectral density (PSD) mask

The RCC PHY transmit spectral mask shall conform with local regulations.

| Positive Train Control (PTC) System Physical Layer  | P802.15.4p/D0.2            |                |
|---|----------------------------|----------------|
| 21.7.5 Error vector magnitude   |                            | 1              |
| When the RCC PHY is using either QPSK or Pi/4 DQPSK modulation, it shall he than 35% when measured for 1000 symbols using the measurement process defined |                            | 2 3 4          |
| 21.7.6 Transmit power   |                            | 5<br>6         |
| The maximum transmit power is limited by local regulatory bodies.   |                            | 7 8            |
| 21.7.7 Receiver sensitivity   |                            | 9<br>10        |
| Receiver sensitivity is implementation specific, however, the method for measuring described in 8.1.7.  | ng receiver sensitivity is | 11<br>12<br>13 |
| 21.7.8 Receiver interference rejection  |                            | 14<br>15       |
| The minimum receiver interference rejection is implementation specific.   |                            | 16<br>17       |
| 21.7.9 Receiver maximum input level of desired signal   |                            | 18<br>19       |
| The receiver maximum input level is implementation specific.  |                            | 20<br>21       |
| 21.7.10 TX-to-RX turnaround time  |                            | 22<br>23       |
| The TX-to-RX turnaround time shall be less than or equal to 500 $\mu s$ .   |                            | 24<br>25       |
| 21.7.11 RX-to-TX turnaround time  |                            | 26<br>27       |
| The RX-to-TX turnaround time shall be less than or equal to 500 $\mu s$ .   |                            | 28<br>29       |
| 21.7.12 Receiver energy detection (ED)  |                            | 30<br>31       |
| The RCC PHY shall provide the receiver ED measurement, as described in 8.2.5.   |                            | 32<br>33       |
| 21.7.13 Link quality indicator (LQI)  |                            | 34<br>35       |
| The RCC PHY shall provide the LQI measurement, as described in 8.2.6.   |                            | 36<br>37       |
| 21.7.14 Clear channel assessment (CCA)  |                            | 38<br>39       |
| The RCC PHY shall use one of the CCA methods described in 8.2.7.  |                            | 40<br>41<br>42 |
|   |                            | 43<br>44       |
|   |                            | 45             |
|   |                            | 46<br>47       |
|   |                            | 48             |

2 3

# **Annex D**

(informative)

# Protocol implementation conformance statement (PICS) proforma<sup>2</sup>

Subclause D.2 is reproduced here to assist the reader in understanding the abbreviations and special symbols in this annex. No changes are made to D.2.

# D.2 Abbreviations and special symbols

Notations for requirement status:

M Mandatory
O Optional

O.n Optional, but support of at least one of the group of options labeled O.n is required.

N/A Not applicable X Prohibited

"item": Conditional, status dependent upon the support marked for the "item"

For example, FD1: O.1 indicates that the status is optional but at least one of the features described in FD1 and FD2 is required to be implemented, if this implementation is to follow the standard to which this PICS proforma is part.

# D.7 PICS proforma tables

TBD

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