#### **Project: IEEE P802.15 Working Group for Wireless Personal Area Networks (WPANs)**

Submission Title: [Samsung Electronics (SAIT) CFP Presentation] Date Submitted: [January, 2005] Source: [(1) Chia-Chin Chong, Su Khiong Yong, Young-Hwan Kim, Jae-Hyon Kim, Seong-Soo Lee (2) A. S. Dmitriev, A. I. Panas, S. O. Starkov, Yu. V. Andreyev, E. V. Efremova, L. V. Kuzmin (3) Haksun Kim, Jaesang Cha] **Company:** [(1) Samsung Electronics Co., Ltd. (Samsung Advanced Institute of Technology (SAIT)) (2) Institute of Radio Engineering and Electronics (IRE) (3) Samsung Electro-Mechanics Co., Ltd.] Address: [(1) RF Technology Group, Comm. & Networking Lab., P. O. Box 111, Suwon 440-600, Korea. (2) Russian Academy of Sciences, 11 Mokhovaya Street, Moscow 103907, Russia Federation. (3) 314, Maetan-3Dong, Youngtong-Gu, Suwon, Gyeonggi-Do, Korea 443-743] Voice: [+82-31-280-6865], FAX: [+82-31-280-9555], E-Mail: [chiachin.chong@samsung.com] **Re:** [Response to IEEE 802.15.4a Call for Proposals (04/380r2)] [Proposal for the IEEE 802.15.4a PHY standard based on the UWB direct chaotic communications Abstract: technology.]

**Purpose:** [Proposal for the IEEE 802.15.4a PHY standard.]

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### Samsung Electronics (SAIT) CFP Presentation for IEEE 802.15.4a Alternative PHY

### **UWB Direct Chaotic Communication System**

Presented by:

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# Outline

- Characteristics of Chaotic Signal
- Principle of Direct Chaotic Communications (DCC)
- PHY Layer Proposal
- System Performance
- Simultaneously Operating Piconets (SOP)
- Ranging Technique
- Power Consumption & Power Management Modes
- Link Budget & Sensitivity
- Complexity, Cost & Technical Feasibility
- Scalability
- Self-Evaluation
- Conclusion

## Characteristics of Chaotic Signal (1)

- Simple circuits
  - Chaotic signal can be generated directly into the desired microwave band by a chaotic generator
- Low cost implementation
  - The simple circuit leads to low cost product
- Multipath resistance
  - Wideband signal is very immune against multipath fading
- Good spectral properties
  - Non-periodic with a flat (or tailored) spectrum
- Flexibility
  - Chaotic radio pulse with different time duration can have the same bandwidth

### Characteristics of Chaotic Signal (2)



### Characteristics of Chaotic Signal (3)



## Direct Chaotic Communication (DCC)

- Chaotic source generates oscillations directly in a specified microwave band.
- Information component is put into the chaotic carrier using a stream chaotic radio pulses.
- Information is retrieved from the chaotic radio pulses without intermediate heterodyning.
- Most simple non-coherent receiver is used.



Chia-Chin Chong, Samsung Electronics (SAIT)

### Chaotic Generator Model

Oscillator circuit



#### Experiment device



## Mathematical Model

 System of 1<sup>st</sup> and 2<sup>nd</sup> order differential equations with 4.5 degrees of freedom

System Equations

Runge-Kutta Method

$$T\dot{x}_{1} + x_{1} = mF(x_{5})$$

$$\dot{x}_{2} + \alpha_{2}\dot{x}_{2} + \omega_{2}^{2}x_{2} = \omega_{2}^{2}x_{1}$$

$$\dot{x}_{3} + \alpha_{3}\dot{x}_{3} + \omega_{3}^{2}x_{3} = \alpha_{3}\dot{x}_{2}$$

$$\dot{x}_{3} + \alpha_{4}\dot{x}_{4} + \omega_{4}^{2}x_{4} = \alpha_{4}\dot{x}_{3}$$

$$\dot{x}_{5} + \alpha_{5}\dot{x}_{5} + \omega_{5}^{2}x_{5} = \alpha_{5}\dot{x}_{4}$$

$$y(1) = (m*Fx5 - X1)/T;$$

$$y(2) = W1*W1*(X1 - X3);$$

$$y(3) = X2 - A1*X3;$$

$$y(4) = A2*y3-W2*W2*X5;$$

$$y(5) = X4 - A2*X5;$$

$$y(6) = A3*y(5)-W3*W3*X7;$$

$$y(7) = X6 - A3*X7;$$

$$y(8) = A4*y(7)-W4*W4*X9;$$

$$y(9) = X8 - A4*X9;$$

Nonlinearity 
$$F(z) = M\left[|z + e_1| - |z - e_1| + \frac{|z - e_2| - |z + e_2|}{2}\right]$$

Submission

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# Frequency Band Plan (2)

- Operating Frequency: 3.1–5.1 GHz
- Why Lower Band?
  - Limitation in the technical capabilities of integrated circuit implementation at higher frequency.
  - Limit of low cost ICs beyond 6 GHz.
  - Prevent interference with 5 GHz WLAN band.
  - Use as much bandwidth as possible to maximize the emitted power and follows FCC rules i.e. >500MHz.
- Can be easily change to use higher band if necessary or when cheap technologies available in the future.

### Frequency Band Plan (3)

4 sub-bands for 4 simultaneously operating piconets (SOPs)



Subband	fc, GHz	fL, GHz	fR, GHz	
1	3,35	3,1	3,6	
2	3,85	3,6	4,1	
3	4,35	4,1	4,6	
4	4 4,85		5,1	



- 500 MHz bandwidth at -10 dB
- Spaced 500 MHz away



### Modulation Schemes

- Various modulation schemes can be deployed:
  - On-off-keying (OOK)
  - Differential-chaos-shift-keying (DCSK)
  - Pulse-position modulation (PPM)

# Why OOK ?

- Advantages:
  - It has less complexity
  - It has 3 dB more energy efficiency than DCSK  $\rightarrow$  battery saving
- Disadvantages:
  - It requires non-zero threshold



### DCC-OOK Transmitter & Receiver



#### DCC-OOK Transceiver Architecture (1) January 2005



- Very simple modulation scheme: on-off power supply is used for modulation
- Additional power saving

### DCC-OOK Transceiver Architecture (2)

### Transmitter RF Part



### Receiver RF Part





### Data Frame Structure

MHR : MAC Heade	or							
MFR : MAC Footer		Octets:		2	1	4-20	n	2
SHR : Synchronization Header		MAC		Frame	Seq	Address	Data	
PHR : PHY Header		Sublayer		Control	No.	Field	Payloa	ad FCS
				1     	MHR		MSDU	MFR
				1     				     
Octets:	4	1	1	32				
PHY Layer	Preamble Sequence	SFD	Frame Length	MPDU				
	SHR		PHR	1		PSD	U	1
Octets:	38							
				P	PDU			

# Payload Bit Rate (1)



Nominal PHY-SAP payload bit rate,  $X_0 = (1/400ns) \times (1000/1024) = 2.44Mbps$ 

# Data Throughput (1)



Nominal Data Throughput,  $T_0 = (32 \times 8/185.6 \mu s) \times (1000/1024) = 1.35 Mbps$ 

## Payload Bit Rate (2)



Optional PHY-SAP payload bit rate,  $X_i = (1/600ns) \times (1000/1024) = 1.63Mbps$ 

# Data Throughput (2)



Optional Data Throughput,  $T_i = (32 \times 8/278.4 \mu s) \times (1000/1024) = 898 kbps$ 

## Example of Operation at 1 kbps (1)

- There are 2 methods of operation in order to achieve 1 kbps data rate:
  - The device transmits several packets in succession, so that the overall data volume is 1kbit i.e. 1024 bits, then falls silent till the beginning of the next second.
  - 2. The device transmits one packet of data at a time with long pauses between the packets, so that total data volume over 1 second is 1kbit. In the beginning of the next second the device wakes up and transmits another 1kbit portion of data.

### Example of Operation at 1 kbps (2)



- To achieve effective data rates of 1 kbps using 32-bytes PSDU, 4 packets need to be transmitted in 1 second.
- The idle time for the above system is  $t_{idle-1kbps} \approx 250$  ms.

### Data Rates and Range

System supports data rates:

- 1 kbps
- 10 kbps
- 1 Mbps
- 40 kbps (optional)
- 160 kbps (optional)
- Aggregated bit rate up to 5 Mbps

#### System supports ranges:

- Range from 0 to 30 m (typical)
- Range up to 100 m (max 10 kbps data rate)

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### System Simulation Parameters

- Modulation: OOK
- Bandwidth: 0.5GHz & 2GHz
- Pulse bin width, T<sub>m</sub>: 400ns
- Pulse emission time, T<sub>s</sub>: 100ns
- PSDU length: 32 bytes

# AWGN Performance: BER vs. $E_b/N_0$



# AWGN Performance: PER vs. $E_b/N_0$



# Multipath Performance: BER vs. $E_b/N_0(1)$



# Multipath Performance: BER vs. $E_b/N_0(2)$


## Multipath Performance: PER vs. $E_b/N_0(1)$



## Multipath Performance: PER vs. $E_b/N_0(2)$



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## SOP

- Three methods to achieve SOP:
  - 1. Frequency division multiplexing (FDM)
    - Four independent frequency channels of 500 MHz bandwidth.
    - This gives simultaneously operating of four piconets.
  - 2. Code division multiplexing (CDM)
    - Deployed a class of unipolar codes (0,1) having ZCD/LCD property  $\rightarrow$  maintain orthogonality among piconets.
    - Four set of codes can support four simultaneously operating piconets.
  - 3. Frequency-code division multiplexing (FCDM)
    - Two independent frequency channels with 1 GHz bandwidth each and within each frequency channel, a set of codes is used similar to CDM technique.
    - A lower set of codes require to support four simultaneously operating piconets.



4 sub-bands for 4 simultaneously operating piconets (SOPs)



Subband	fc, GHz	fL, GHz	fR, GHz
1	3,35	3,1	3,6
2	3,85	3,6	4,1
3	4,35	4,1	4,6
4	4,85	4,6	5,1
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- 500 MHz bandwidth at -10 dB
- Spaced 500 MHz away



#### SOP: CDM (2)

#### Baseband Implementation in LABVIEW



#### SOP: CDM (3)

#### Chaotic Source Generator in LABVIEW



#### SOP: FCDM

2 sub-bands and a set of PN code for each sub-bands => 4 simultaneously operating piconets (SOPs)



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## Ranging Scheme (1)

- Ranging circuit contains 2 low frequency generators with slightly different frequency to generate probing pulses,  $f_0$  (2.500 MHz) & reference pulses,  $f_1=f_0+\Delta f$  (2.5125 MHz).
- Circuit also contains 3 counters that count the no. of reference pulses, N3, no. of delayed pulses from the channel, N1 and no. of overlapping pulses, N2.
- Range is determined from the reading of the 3 counters.







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## January 2005 Power Consumption (1), Joseph 2003 Pow



- $P_e$  is emitted power,
- $\boldsymbol{\eta}$  is efficiency,
- $\eta_{\textit{best}}$  is the best of all possible efficiencies,
- $P_{in}$  is instantaneous emission power,
- $T_e$  is time of emission for given transmission rate,

- $T_{bit}$  is duration of one bit,
- *R* is transmission rate,
- $C_b$  is battery capacity,
- $U_b$  is battery voltage,
- D is duty cycle.

## January 2005 Power Consumption (2)doc.: IEEE 15-05-0030-01-004a

Transmission Rate <i>R,</i> kbps	Average Emitted Power <i>P<sub>e</sub></i> , mW	Average Power Consumption $P_{av}$ ( $\eta$ = 5%)	Lifetime of the AAA battery, years
1	2·10 <sup>-4</sup>	15.5 μW	8.3 100% duty cycle
10	2∙10 <sup>-3</sup>	87.5 μW	15 10% duty cycle
1000	2.10 <sup>-1</sup>	8 mW	16.4 0.1% duty cycle

 $P_{CU} = 7.5 \ \mu\text{W}$ ;  $P_{in} = 4 \ \text{mW}$ ;  $\eta_{best} = 5\%$ ;  $U_b = 1.5 \ \text{V}$ ;  $C_b = 750 \ \text{mAh}$ ; D = 1/4

**Example:** R = 1 kbps;  $T_{bit} = 400$  ns;  $\eta = 5\%$ 

$$P_e = 1/2 \cdot D \cdot P_{in} \cdot T_{bit} \cdot R = 0.2 \,\mu\text{W}$$

$$P_{av} = P_{Tx} + P_{Rx} + P_{CU} = P_e / \eta + P_e / \eta_{best} + P_{CU} = 15.5 \,\mu\text{W}$$

#### Power Management Modes

#### Wake Up Structure



- Characteristics of Chaotic Signal
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# Link Budget & Sensitivity doc.: HEEE 15-05-0030-01-004a

January 2005

Parameter	(mandatory) Value	(optional) Value
Peak payload bit rate $(R_b)$	X <sub>0</sub> =2440 kbps	X <sub>i</sub> =1630 kbps
Average Tx power $(P_T)$	-8.3 dBm	-8.3 dBm
Tx antenna gain $(G_T)$	0 dBi	0 dBi
$f'_{c} = \sqrt{f_{\min}f_{\max}}$ : geometric center frequency of	3.976 GHz	3.976 GHz
waveform ( $f_{\rm min}$ and $f_{\rm max}$ are the -10 dB edges of the		
waveform spectrum)		
Path loss at 1 meter ( $L_1 = 20 \log_{10}(4\pi f_c'/c)$ )	44.43 dB	44.43 dB
$c = 3 \times 10^8 m/s$		
Path loss at $d=30 \text{ m} (L_2 = 20\log_{10}(d))$	29.54 dB	29.54 dB
Rx antenna gain $(G_R)$	0 dBi	0 dBi
Rx power ( $P_{R} = P_{T} + G_{T} + G_{R} - L_{1} - L_{2}$ (dB))	-82.3 dBm	-82.3 dBm
Average noise power per bit $(N = -174 + 10 * \log_{10}(R_b))$	-110.1 dBm	-111.9 dBm
Rx Noise Figure ( $N_F$ ) note <sup>1</sup>	7 dB	7 dB
Average noise power per bit ( $P_N = N + N_F$ )	-103.1 dBm	-104.9 dBm
Minimum $E_b/N_0(S)$	15.5 dB	15.5 dB
Implementation Loss <sup>1</sup> (I)	3 dB	3 dB
Link Margin ( $M = P_R - P_N - S - I$ )	2.3 dB	4.1 dB
Proposed Min. Rx Sensitivity Level <sup>2</sup>	-86.1 dBm	-87.9 dBm

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# Transceiver Architecture



#### Unit Manufacturing Cost & Complexity (1)

- RF part of the transceiver:
  - Chaos oscillator in 3.1-5.1 GHz frequency band with 10 dBm output power amplifier (common complexity is equivalent to 4 power amplifiers)
  - Switch-modulator
  - LNA (amplification 30-35 dB)
  - Tunable filter with bandwidth 500 MHz (in band 3.1-5.1 GHz)
  - Envelope detector
  - Antennas
  - No: mixers, correlators, RF VCO

#### Unit Manufacturing Cost & Complexity (2)

- Baseband part of the transceiver:
  - Reference oscillator 40 MHz
  - Bandpass amplifiers
  - Threshold detector or 4 bit A/D converter
  - Frequency Synthesizer on 2.5125 MHz (for ranging)
  - Digital part with ~ 10K gates

#### Size & Form Factor

PHY–level (0.13µm CMOS technology)

•	RF part of transceiver	< 0.3 mm <sup>2</sup>
•	Analog part of transceiver PHY-level baseband	< 0.2 mm <sup>2</sup>
•	Digital part of transceiver PHY-level baseband	< 0.3 mm <sup>2</sup>
•	Common layout square for PHY-level	< 1.0 mm <sup>2</sup>

• Antenna: 2.0 x 2.0 cm<sup>2</sup>

### Technical Feasibility (1)

#### UWB DCC-OOK Test-bed



#### Technical Feasibility (2)

#### DCC-OOK Experiment: 3.1-5.1 GHz







## Technical Feasibility (3)



Transmitter consists of: - chaos generator - modulator - antenna	Frequency band - 3.1-5.1 GHz
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## **Scaling Parameters**

- Scalability is the tradeoff between
  - Bit rate
  - Power consumption
  - Range
  - Complexity/Cost
- PHY mechanisms used
  - Transmit power control
  - Dynamic frequency selection
    - Invoked if link quality falls below some threshold
- Example applications:
  - Home usage/smart home (1kbps 20 to 30m)
  - Communication and networking (1kbps 20 to 30m)
  - etc.

#### What can be scaled?

- Power consumption:
  - Bandwidth used
  - Data rate, duty cycle and distance of operation
  - Packet transmission followed by sleep mode
- Data rate:
  - Scalable from 1 kbps to 1 Mbps
- Range:
  - Scalable with coding, lower bit duration (up to the optimum value) and power consumption.
- Complexity:
  - Lower complexity is possible with trade-off of reduced system performance
  - Scale with future CMOS process improvements e.g. use upper frequency band

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#### Self-Evaluation

Criteria	Ref.	Importance Level	Proposer Response
Unit Manufacturing Complexity	3.1	А	+
Technical Feasibility	3.4	А	+
Scalability	3.5	А	+
Size and Form Factor	5.2	А	+
PHY-SAP Payload Bit Rate and Data Throughput	5.3.1	А	+
Simultaneous Operating Piconets	5.4	А	+
System Performance	5.6	А	+
Ranging	5.7	А	+
Link Budget	5.8	А	+
Sensitivity	5.9	А	+
Power Management & Modes	5.10	А	+
Power Consumption	5.11	А	+

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#### Conclusions

- Chaotic communications meet the low power, low cost & low complexity requirements → best suited for 15.4a applications.
- Proposed DCC-OOK compliant with FCC UWB PSD regulation.
- Feasibility and scalability are guaranteed with precision ranging and SOP capabilities.
- The implemented test bed demonstrated that the feasibility of DCC technology.

## DCSK: Compatible Modulation Scheme for Direct Chaotic Communication
## Outline

- General Overview
- Characteristics of DCSK
- Principle of Differential Chaotic Shift Keying (DCSK) Modulation
- Simultaneously Operating Piconets (SOP)
- Ranging Technique
- Scalability
- Complexity, Cost & Technical Feasibility
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#### General Overview

- Direct chaotic signal can be applied to the Differential Chaos Shift Keying (DCSK) modulation scheme as an alternative to OOK DCC
- The Chaotic properties are maintained as in the case of the OOK

#### Characteristics of DCSK

- Direct Chaotic Shift Keying (DCSK)
  - same data rate as in the proposed OOK
  - Constant decision threshold in the receiver
  - SOP can be achieved by transmitting different chaotic pulse length

## Principle of DCSK Modulation(1)

- DCSK transmits a reference chaotic pulse and an information data pulse depending on whether information bit 1 (same ref. chaotic pulse) or 0 (inverted of the chaotic pulse) is being transmitted
- The information signal can be recovered by a correlator.



# SOP (1)

- In DCSK SOP can be done using Chaotic Length Division Multiple Access (LDMA).
- LDMA works based on the exploitation of different chaotic length assigned to each piconets.
- LDMA is based on the spectral and correlation property of chaotic signal.

SOP (2)



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#### SOP (3)

#### Chaotic DCSK Correlation Property



## Ranging Technique

 Ranging technique used is the same as OOK proposal.

## Scalability (1)

- Scalability can be achieved using
  - Chaotic gain
  - Varying bit duration
  - Duty cycle
  - Repeated transmission of information bearing chip.







Submission

## Complexity, Cost & Technical Feasibility

 Complexity and cost will be slightly higher compare to the OOK chaotic system proposed

Parameter	Value	Value
Throughput (R <sub>b</sub> ), Kbps	1	10
Duty cyrcle, dB	-40	-30
Average Tx Power (P <sub>T</sub> ), dBm	-30	-20
Geometric central frequency Fc, GHz	3.35	3.35
Path loss at 1 m (L <sub>1</sub> ), dB	44.5	44.5
Path loss at 30 m (L <sub>2</sub> ), dB	30	30
Tx antenna gain (G <sub>T</sub> ), dB	0	0
Rx antenna gain (G <sub>R</sub> ), dB	-3	-3
Rx Power at 30 m (P <sub>R</sub> =P <sub>T</sub> +G <sub>T</sub> +G <sub>R</sub> -L <sub>1</sub> -L <sub>2</sub> ), dBm	-107.5	-97.5
Average noise power per bit (N=-174+10*log <sub>10</sub> (R <sub>b</sub> )), dBm	-144.0	-134.0
Rx noise figure referred to the antenna terminal (N <sub>F</sub> ), dB	7.0	7.0
Total average noise power per bit (P <sub>N</sub> =N+N <sub>F</sub> ), dBm	-137	-127
Minimum Eb/No (S), dB	14	14
Raw bit rate, kbps	2	20
Code rate	0.5	0.5
Implementation loss (I), dB	4	4
Link Margin at 30 m (M=P <sub>R</sub> -P <sub>N</sub> -S-I), dB	11.5	11.5
Rx sensitivity level, dB	-119	-109

#### Link Budget & Sensitivity

#### Conclusion

- Chaotic communication based on DCSK modulation is an alternative solution.
- SOP and ranging can also be solved using DCSK.
- Hardware complexity is slightly higher than OOK since most hardware from OOK is retained.

## **Backup Slides**

## Tolerance of Components (1)

- Tolerance of the components of the chaotic oscillator with insignificant changes of spectral properties are from 5%-20% for different components.
- However, it is possible to develop a chaotic oscillator with better tolerance of components.





- Capacitor, C1 and inductance,  $L \rightarrow 20\%$  tolerance.
- C2 and resistors, RE and R1  $\rightarrow$  5% tolerance.

#### Summary of Features

Information carrier	Chaotic radio pulses		
Band division	3 bands within FCC Mask (3.1-5.1, 6.1-8.1 and 8.2-10.2 GHz)		
Channel bandwidth	2.0 GHz band or 4 channels with 500 MHz in each in the 2 GHz band		
Pulse duration	400 ns		
Individual bit rate	1 Kbps	10 Kbps	100 Kbps
Transmit power	-30 dBm	-20 dBm	-20 dBm
	2.5 year	2.5 year	2.5 year
Battery life	100% duty	10% duty	0.1% duty
	cycle	cycle	cycle
Aggregated bit rate		Up to 5 Mbps	

# Tiny Chaos Transmitter for Wireless Communications





Transmitter consists of: - chaos generator - modulator - antenna	Frequency band - 2-4 GHz Radiating power - 3-4 mw
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